

Audit Committee Title	Reference	Recommendation	Status	Outcome/Status Narrative	Due Date	Re-forecast Date	Date action closed	Date of last review	Public/Priv. Assurance Rating	Priority	SLT Lead	Action Lead	Directorate	Management Response	Themes	
23/03/2026 Hosting Arrangements	NHP-2526-02-01	Framework for Delivering Professional Accountability	Action delayed	July 26 - The accountability for this work is now with the Medical Director and Director of Nursing and Quality. Discussions related to clinical leadership and professional accountability are being considered as part of the OCP. The interim arrangements remain in place until the new framework is signed of. The action will be delayed. June 26 - Draft has been sent to MD and DN&Q by DDPOD for review and consideration alongside the national clinical governance framework development work and OCP Phase 2. April 26 - A draft framework has been developed. The Medical Director has now been appointed who will oversee the finalisation of the framework	29/05/2026	31/08/2026		14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Rhiannon Windsor (NHS Wales Performance and Improvement)	Corporate	Plans are already in train and/or in place to ensure that all responsibilities assigned to NHSP&I in the Hosting Agreement are fully met.	Policies and Procedures
23/03/2026 Hosting Arrangements	NHP-2526-02-02	Estates Strategy	Action complete	July 26 - The estates strategy has been approved by the task and finish group and the first phase proposal approved by SDT and the task and finish group. Work to implement has begun. The action to develop and begin implementation is complete. The action will be closed. June 26 - Estates strategy is drafted, immediate lease considerations have had to be prioritised, but incremental work continues. A T&F group is actively managing the next stages of development. April 26 - Corporate Business Senior Programme Manager is undertaking the relevant engagement to seek staff feedback.	29/05/2026	31/07/2026	14/07/2026	14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	Plans are already in train and/or in place to ensure that all responsibilities assigned to NHSP&I in the Hosting Agreement are fully met.	Policies and Procedures
23/03/2026 Hosting Arrangements	NHP-2526-02-03	Revised Staffing Structure	Action complete	June 26 - The budget setting and new SLT interim line management arrangements have been communicated to all affected staff. The revised staffing structure is now live. OCP Phase 2 will begin end of Jun/Jul which will be the final stage and result in a living org chart. April 26 - The appointment of the SDT members has been completed. Phase 2 OCP design is underway.	29/05/2026		14/07/2026	14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Rhiannon Windsor (NHS Wales Performance and Improvement)	Corporate	Plans are already in train and/or in place to ensure that all responsibilities assigned to NHSP&I in the Hosting Agreement are fully met.	Policies and Procedures
23/03/2026 Hosting Arrangements	NHP-2526-02-04	Organisational Development Programme	Action delayed	Jul 26 - The OD programme has been drafted and is scheduled for SDT review at the end of July. Jun 26 - The final member of SDT DN&Q started at the end of May the OD programme proposal is now in discussion with the SDT members. April 26 - The appointment of the SDT members has been completed. Phase 2 OCP design is underway.	29/05/2026	31/07/2026		14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Rhiannon Windsor (NHS Wales Performance and Improvement)	Corporate	Plans are already in train and/or in place to ensure that all responsibilities assigned to NHSP&I in the Hosting Agreement are fully met.	Policies and Procedures
23/03/2026 Hosting Arrangements	NHP-2526-02-05	Revised Induction Procedures	Action delayed	July 26 - The full launch will take place in September. The programme is in beta testing. Jun 26 - The pack has now been updated after feedback Apr - 26 Revised induction is now ready for testing	29/05/2026	01/09/2026		14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Rhiannon Windsor (NHS Wales Performance and Improvement)	Corporate	Plans are already in train and/or in place to ensure that all responsibilities assigned to NHSP&I in the Hosting Agreement are fully met.	Policies and Procedures
23/03/2026 Hosting Arrangements	NHP-2526-02-06	Business Continuity Plan and Reporting to Trust Audit & Corporate Governance Committee	Action delayed	Jul 26 - Significant work to test the scenarios has been undertaken in light of the new SDT arrangements. The launch of full staff training will be completed in Q2. Jun 26 - revised plan is now in testing for launch in Q2. Apr 26 - Corporate Business Senior Programme Manager is finalising the BCP in line with the new Senior Director Team arrangements	29/05/2026	31/08/2026		14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	Plans are already in train and/or in place to ensure that all responsibilities assigned to NHSP&I in the Hosting Agreement are fully met.	Policies and Procedures
23/03/2026 Hosting Arrangements	NHP-2526-02-07	Declarations of interest on meeting Agendas.	Action complete	Declarations of interest are now included on all oversight governance meetings including SLT and OLF.	31/01/2026		31/12/2025		Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	Meeting Agendas will include a formal item to ensure that there are no conflicts of interest for any attendee with the topics on the agenda.	Governance
23/03/2026 Hosting Arrangements	NHP-2526-02-08	Action Logs	Action complete	Static action logs are now taken from the SharePoint lists and reviewed at each meeting.	31/01/2026		31/12/2025		Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	Action will continue to be taken to ensure that meeting logs are updated ideally prior to meetings and that these are completed on a full and timely basis wherever possible.	Governance
23/03/2026 Risk Management	NHP-2526-03-02	Reporting of documented Action Plans for Strategic and Corporate Risks	Action delayed	Jul 26 - Significant improvement has been made. The action will be delivered by the target re-forecast date. Jun-26 The new update process has been designed and will go live at the end of June for Q1 reporting against the strategic risk actions plans. Corporate Risk action logs are in their final stages of completion. The delays have been partially related to the finalisation of the SDT members, a risk management and strategic risk session is diarised for the 30 June to support the new reporting arrangements. Apr 26 - Action Plans are complete in readiness for approval by the new SDT members.	30/04/2026	31/07/2026		14/07/2026	Public	Limited	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	All risks that require further mitigation will have clear action plans with designated responsible officers and dates for completion. Information on progress with completion of the required actions will feature in routine reporting to ensure that the responsible officer can be held to account for their completion.	Policies and Procedures
23/03/2026 Risk Management	NHP-2526-03-01	Evidence of inclusion of action plans in Directorate Risk Registers	Action delayed	Jul 26 - Significant improvement has been made. There remains further work to do on the frequency and quality of the updates of action plans. Jun 26 - Excellent progress has been made on the action plans for directorate risks. Apr 26 - A review plan is in development.	30/06/2026	31/08/2026		14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	Management will ensure that Directorate Risk Registers are complete with clarify on action plans and clear distinction between required actions and existing controls. Directorate staff will be reminded also that the current or residual risk score should only be based on existing controls and mitigations.	Policies and Procedures
03/09/2026 Procurement	NHP-2526-04-01	Record of procurement training delivered	Action complete	Corporate Training Log is now live https://nhswales365.sharepoint.com/sites/NXW_CorporateGovernance/Lists/Corporate%20Training%20Log/AllItems.aspx	30/06/2026			14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	Procurement training provided to NHSP&I staff will be effectively monitored to ensure that all NHSP&I staff that need the training receive this on a timely basis, and that the training is effective in helping to ensure compliance with required procurement procedures.	Training and Development
03/09/2026 Procurement	NHP-2526-04-02	Record of Declared Interest meeting outcomes.	Action complete	This is now included as a column on the DOI register	30/06/2026			14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	The Corporate Governance Team will record the outcome of all meetings with staff who have declared a potential conflict of interest. This action was already intended to be undertaken during the 2026/27 financial year.	Governance
03/09/2026 Procurement	NHP-2526-04-03	Updated Contract Forward Look Workplan.	Action complete	This is now included in the Quarterly corporate meetings, monthly at BLG, and highlighted to individual SDT members by the senior corporate business team.	30/06/2026			14/07/2026	Public	Reasonable	Medium	Chris Clayton (NHS Wales Performance and Improvement)	Sophie Fuller (NHS Wales Performance and Improvement)	Corporate	The Contract Forward Look Workplan will be fully populated with details of all upcoming procurement activity and contracts that are under development.	Financial Management and Control