

| CORPORATE RISK REGISTER - 12.08.2026 |                |                   |                                                                  |                                          | RISK ARTICULATION                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                 |                                                                                                                         | INHERENT SCORING |             | CONTROLS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | RESIDUAL (CURRENT) SCORING                                                                                                                                                                                  |            |             | DECISION | OVERALL RISK PROGRESS                                                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | ACTION PLAN                                                                                                                                                                                                                                                                                  |               |                                            | TARGET SCORING                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                   |             | RESPONSIBLE GROUP |                                          |
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| Item ID                              | Risk Owner     | Current Risk Date | Current Risk of                                                  | Current Risk Level                       | Consequence                                                                                                                               | Public Protection                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Cause                                                                                                                                                                           | Event                                                                                                                   | Identified       | Consequence | Rating                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Controls                                                                                                                                                                                                    | Identified | Consequence | Rating   |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Assessment                                                                                                                                                                                                                                                                                   | ASAP Due Date | Action Due Date                            | Response                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Identified        | Consequence | Rating            | Comments to be added                     |
| 1048                                 | Solutions Data | 24/06/2026        | PHW - National Director For Public Health Knowledge and Research | Private Little                           | Research, Data and Digital                                                                                                                | There is a risk that Public Health teams will lose access to Private Candidate                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | This is caused by: Audit (the current tool used to gather primary care data is being decommissioned in July 2024 and there will be no further support of Audit from March 2025) | This would result in the loss of Audit without a replacement requested service would lead to statutory responsibilities | 5 Almost certain | 4 Major     | 26                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | Don't a preparation of work to ensure that all regular reports from primary care are reported to the back office, and that any new requirements are developed in the data to further fulfil our obligations | 3 Likely   | 4 Major     | 12       | Trust                                                                                                                                                                                                                                                                                        | 10/06/2026: While no formal communication has been released, it is understood that the decision on the solution that was required from DCH Data was agreed in July 2024. With that confirmed DCHW has been requested to provide the planned implementation so that an assessment to the deployment within the organisation can be completed. It is proposed that the review of the audit plan risk is submitted to GDS in late July 2026 once further details are available from DCHW | To update the Business Continuity Impact Assessment and Business Continuity Plan, to reflect the impact of Audit removal/that updating and mitigation. Plans and assessment to be updated into this risk in supporting documentation by 30 November 2024. Action to be completed by July 26. | 31/08/2026    | Agenda agreed                              | 08/07: Update from GDS Mailbox - It will focus on impact on and show clear on service work, and the team will work on connecting GP services directly to gather the information. Therefore, due to the fact that it is not deemed to have direct clinical impact, this specific matter has not been flagged as a business continuity issue and our contingency plans will be specifically updated to address this. GP - Auditing updates to confirm this covers all GP only or whole of GPMS. | 1 Highly Unlikely | 2 Minor     | 2                 | Digital and Data Design Authority (DDDA) |
| 1035                                 | Quality        | 26/05/2026        | Michelle Bartholomew                                             | Health Protection and Screening Services | There is a risk that PHW will not be able to deliver an optimal and sustainable essential health services to meet population requirements | Risk originated from Sexual Health Unit. Due to insufficient staff resources and service issues, this risk was identified as high as the screening risk that addresses wider service risk. These issues are lack of appropriate use of technologies to improve existing screening and sharing of patient information and lack of appropriate instructions to enable information to be used to provide accurate, support effective quality control and risk management. Delivery of this is reliant on resources and support from the wider organisation (e.g. IT, Supporting Digital Services) | The impact will be a loss of public confidence, inability to sustain the service which may lead to service users harm and reputational damage to PHW                            | 5 Almost certain                                                                                                        | 4 Major          | 26          | 1) PHW update (need) directly lead of Operations Improvement Team as a phase 1 system to record clinical record and safeguarding. 2) PHW update: Practice safeguarding process now embedded for children and young people. Retrospective review of safeguarding cases now complete, providing assurance and compliance with regulatory requirements. 3) PHW development ongoing key GP, already developed screening safeguarding, and orders processing. Clearly defined escalation routes have been clearly implemented with key roles such as lead nurse and lead clinical managers both in place. Plans to move to incident response high as digital development have not yet been completed | 4 Highly likely                                                                                                                                                                                             | 4 Major    | 16          | Trust    | 07/06/2026: Update from Senior Service Manager PHW 1) Recreated for Improvement Manager role to embark on improvement plans completed and existing best done. 2) PHW closure pending Hq C report sign off. SDC agreed an Improvement Plan to support assurance of governance in the service. | To complete a deep dive risk review at ESDN by Aug 8th                                                                                                                                                                                                                                                                                                                                                                                                                                | 31/08/2026                                                                                                                                                                                                                                                                                   | Agenda agreed | 06/26: From SDC sign off of SDC in process | 06/26: Update from GDS Mailbox - It will focus on impact on and show clear on service work, and the team will work on connecting GP services directly to gather the information. Therefore, due to the fact that it is not deemed to have direct clinical impact, this specific matter has not been flagged as a business continuity issue and our contingency plans will be specifically updated to address this. GP - Auditing updates to confirm this covers all GP only or whole of GPMS. | 2 Unlikely        | 3 Moderate  | 4                 | Sexual Health Unit                       |