

## **Equality & Health Impact Assessment for Management of Service Level Agreements (SLAs) and Grant Payments Procedure**

### **Part 1**

Please answer all questions:-

<b>1.</b>	For service change, provide the title of the Project Outline Document or Business Case and Reference Number	Management of Service Level Agreements (SLAs) and Grant Payments Procedure
<b>2.</b>	Name of Clinical Board / Corporate Directorate and title of lead member of staff, including contact details	Operations and Finance Directorate Jane Matthews, Head of Financial Reporting and Control Email: Jane.Matthews3@wales.nhs.uk
<b>3.</b>	Objectives of procedure	<ul style="list-style-type: none"> <li>• Outline the funding principles when working with partner organisations in the provision or delivery of services.</li> <li>• To help managers decide whether a payment made to another NHS, not for profit or public sector organisation constitutes a Grant or a service being provided under a Contract or Service Level Agreement (SLA)</li> <li>• Clarify the difference between Contracts, SLAs and Grants</li> <li>• Describe the purpose of an SLA and the circumstances where the use of an SLA is appropriate.</li> <li>• Explain what criteria need to be met for a payment to another organisation to constitute a grant payment.</li> <li>• Define streamlined and efficient arrangements for the management and control of all SLAs and grant payments made by the Trust.</li> </ul>

		<ul style="list-style-type: none"> <li>• Provide effective and clear guidance and promote best practice regarding the implementing and management of both SLAs and Grants payments.</li> <li>• Ensure all staff involved in the processes understand their responsibilities for managing and monitoring the SLAs and grant payments.</li> <li>• Provide a standard SLA model framework for use throughout the Trust.</li> <li>• Provide the standard grant payment Terms and Conditions for use throughout the Trust.</li> <li>• Ensure that there are procedures in place which support the governance requirements set out in the Trust Standing Financial Instructions and Standing Orders relating to payments and grants.</li> </ul>
4.	<p>Evidence and background information considered. For example</p> <ul style="list-style-type: none"> <li>• population data</li> <li>• staff and service users data, as applicable</li> <li>• needs assessment</li> <li>• engagement and involvement findings</li> <li>• research</li> <li>• good practice guidelines</li> <li>• participant knowledge</li> <li>• list of stakeholders and how stakeholders have engaged in the development stages</li> <li>• comments from those involved in the designing and development stages</li> </ul>	<p>Guidance for the management of Service Level Agreements and grant payments has existed in the Trust for a number of years, however, the document has never been approved as a formal procedure. Due to the governance arrangements around the approval of grant payments, it has been deemed appropriate for a formal procedure to be developed. This will ensure the procedure is subject to consultation and will go through Audit and Corporate Governance Committee approval.</p> <p>The procedure has been developed by members of the Finance Division who have oversight of Directorates and Divisions who award grant funding and where service level agreements in place.</p>

	<p>Population pyramids are available from Public Health Wales Observatory and the 'Shaping Our Future Wellbeing' Strategy provides an overview of health need.</p>	
<b>5.</b>	<p>Who will be affected by the procedure</p> <p>Consider staff as well as the population that the project/change may affect to different degrees.</p>	<p>The procedure will affect the following groups of staff who are involved in issuing grant funding or arranging Service Level Agreements:</p> <ul style="list-style-type: none"><li>• Budget Holders</li><li>• Head of Services</li><li>• Finance Staff</li></ul>

## Part 2- Equality and Welsh language

### 6. EQIA / How will the strategy, policy, plan, procedure and/or service impact on people?

Questions in this section relate to the impact on people on the basis of their 'protected characteristics'.

<b>How will the strategy, policy, plan, procedure and/or service impact on:-</b>	<b>Potential positive and/or negative impacts (unintended consequences) Opportunities or gaps</b>	<b>Action taken by Directorate.</b> Make reference to where the mitigation is included in the document, as appropriate <b>This column is to be updated in future reviews</b>	<b>Recommendations for improvement/ mitigation/ identified gaps or opportunities</b>
<b>6.1 Age</b> For most purposes, the main categories are: <ul style="list-style-type: none"> <li>• under 18;</li> <li>• between 18 and 65; and</li> <li>• over 65</li> </ul>	No impact identified - the procedure is applicable to all staff.	None required	
<b>6.2 Persons with a disability as defined in the Equality Act 2010</b> Those with physical impairments, learning disability, sensory loss or impairment, mental health conditions, long-term	The procedure is applicable to all staff.  Whilst the contents of the procedure does not have a negative impact on persons with a disability, as with all written control documents there may be a	Public Health Wales does have provision for the production of documents that are accessible to persons with disabilities. Large print, Braille or audio versions could be provided on request.	

<b>How will the strategy, policy, plan, procedure and/or service impact on:-</b>	<b>Potential positive and/or negative impacts (unintended consequences) Opportunities or gaps</b>	<b>Action taken by Directorate.</b> Make reference to where the mitigation is included in the document, as appropriate <b>This column is to be updated in future reviews</b>	<b>Recommendations for improvement/ mitigation/ identified gaps or opportunities</b>
medical conditions such as diabetes	<p>negative impact due to the format of the control document that is available. Documents are published on the intranet in pdf format.</p> <p>Visual impairment – not all accessibility software accepts pdf format and therefore an alternative format may be required.</p> <p><u>Learning disability</u> - The documents may also not be understood by those who have difficulty deciphering or reading the written word, for example, dyslexia. Therefore, further explanation and support may be required.</p>	<p>Members of the Finance Division are available to provide advice and assistance in applying the procedure.</p>	

<b>How will the strategy, policy, plan, procedure and/or service impact on:-</b>	<b>Potential positive and/or negative impacts (unintended consequences) Opportunities or gaps</b>	<b>Action taken by Directorate.</b> Make reference to where the mitigation is included in the document, as appropriate <b>This column is to be updated in future reviews</b>	<b>Recommendations for improvement/ mitigation/ identified gaps or opportunities</b>
<b>6.3 People of different genders:</b> Consider men, women, people undergoing gender reassignment  <b>NB</b> Gender-reassignment is anyone who proposes to, starts, is going through or who has completed a process to change his or her gender with or without going through any medical procedures. Sometimes referred to as Trans or Transgender	No impact identified - the procedure is applicable to all staff.	None required	
<b>6.4 People who are married or who have a civil partner.</b>	No impact identified - the procedure is applicable to all staff.	None required	

<b>How will the strategy, policy, plan, procedure and/or service impact on:-</b>	<b>Potential positive and/or negative impacts (unintended consequences) Opportunities or gaps</b>	<b>Action taken by Directorate.</b> Make reference to where the mitigation is included in the document, as appropriate <b>This column is to be updated in future reviews</b>	<b>Recommendations for improvement/ mitigation/ identified gaps or opportunities</b>
<b>6.5 Women who are expecting a baby, who are on a break from work after having a baby, or who are breastfeeding.</b>	No impact identified - the procedure is applicable to all staff.	None required	
<b>6.6 People of a different race, nationality, colour, culture or ethnic origin including non-English speakers, gypsies/travellers, migrant workers</b>	No impact identified - the procedure is applicable to all staff.	None required	
<b>6.7 People with a religion or belief or with no religion or belief.</b> The term 'religion' includes a religious or philosophical belief	No impact identified - the procedure is applicable to all staff.	None required	

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<b>6.8 People who are attracted to other people of:</b> <ul style="list-style-type: none"> <li>• the opposite sex (heterosexual);</li> <li>• the same sex (lesbian or gay);</li> <li>• both sexes (bisexual)</li> </ul>	No impact identified - the procedure is applicable to all staff.	None required	
<b>6.9 People according to their income related group:</b> Consider people on low income, economically inactive, unemployed/workless, people who are unable to work due to ill-health	No impact identified - the procedure is applicable to all staff.	None required	
<b>6.10 People according to where they live:</b> Consider people living in areas known to exhibit poor economic and/or health indicators, people	No impact identified - the procedure is applicable to all staff.	None required	



<b>How will the strategy, policy, plan, procedure and/or service impact on:-</b>	<b>Potential positive and/or negative impacts (unintended consequences) Opportunities or gaps</b>	<b>Action taken by Directorate.</b> Make reference to where the mitigation is included in the document, as appropriate <b>This column is to be updated in future reviews</b>	<b>Recommendations for improvement/ mitigation/ identified gaps or opportunities</b>
unable to access services and facilities			
<b>6.11 Consider any other groups and risk factors relevant to this strategy, policy, plan, procedure and/or service</b>	N/A	N/A	
<b>6.12 Welsh Language</b>			
<b>There are 2 key considerations to be made during the development of a policy, project, programme, service to ensure there are no adverse effects and/or a positive or increased positive effect on:</b> (please note these will continue to be reviewed to ensure Public Health Wales fulfils their duties to comply with one or more standards outlined within the Welsh Language Standards (No 7) Regulations 2018)			
<b>Opportunities for persons to use the Welsh language</b>	Following approval, this procedure will be translated into Welsh.  Both Welsh and English versions will be published at the same time.	Following approval, this procedure will be translated into Welsh.  Both Welsh and English versions will be published at the same time.	

<b>How will the strategy, policy, plan, procedure and/or service impact on:-</b>	<b>Potential positive and/or negative impacts (unintended consequences) Opportunities or gaps</b>	<b>Action taken by Directorate.</b> Make reference to where the mitigation is included in the document, as appropriate <b>This column is to be updated in future reviews</b>	<b>Recommendations for improvement/ mitigation/ identified gaps or opportunities</b>
<b>Treating the Welsh language no less favourably than the English language</b>	As above	As above	

### Part 3 – Health

Questions in this section relate to the impact on the health and wellbeing outcomes of the population **and** specific population groups who could be more impacted than others by a policy/project/proposal.

The part of the assessment identifies;

- which specific groups in the population could be impacted more (inequalities)
- what those potential impacts could be across the wider determinants of health framework?
- Potential gaps, opportunities to maximise positive H&WB outcomes
- Recommendations/mitigation to be considered by the decision makers

#### 7. Identification of specific population groups

Use the WHIASU Population Groups checklist as a reference to identify the population groups who could be more impacted than others by a policy/project/proposal. The check list can be found on the PHW Integrated EqHIA guidance pages (requires link to PHW Intranet pages for additional information and resources)

The groups listed have been identified as more susceptible to poorer health and wellbeing outcomes (health inequalities) and therefore it is important to consider them in a HIA assessment. In a HIA, the groups identified, as more sensitive to potential impacts will depend on the characteristics of the local population, the context, and the nature of the proposal itself.

7.1 Groups identified	Rational/explanation
None identified	N/A

**Assessment**

Complete the wider determinants framework table below providing rational/evidence where appropriate:

1. Consider how the proposal could impact on the population and specific population groups identified above (positive/negative) for each of the wider determinants (the bullets under each determinant are there as a guide)
2. Record any unintended consequences (negative impacts) and/or gaps identified
3. Record any positive impacts or missed opportunities to maximise positive health and wellbeing outcomes
4. identify and record mitigation/recommendations where appropriate

**Please note** you may find that not all determinants are relevant to the project/plan however recording N/A is not acceptable a rational or evidence should be explained/referenced

Wider determinant for consideration	Positive impacts or additional opportunities	Unintended consequences or gaps	Population groups affected	Mitigation/recommendations
<b>7.2 Lifestyles</b> <ul style="list-style-type: none"> <li>• Diet/nutrition/breastfeeding</li> <li>• Physical activity</li> <li>• Use of alcohol, cigarettes, e-cigarettes</li> <li>• Use of substances, non-prescribed drugs, abuse of prescription medication</li> <li>• Social media use</li> <li>• Sexual activity</li> </ul>	The procedure is an internal document intended to provide guidance to staff. Therefore,	N/A		

<ul style="list-style-type: none"> <li>Risk-taking activity i.e. gambling, addictive behaviour</li> </ul>	<p>it does not have an impact on the health and wellbeing of the population or addressing inequalities in health.</p>			
<p><b>7.3 Social and community influences on health</b></p> <ul style="list-style-type: none"> <li>Adverse childhood experiences</li> <li>Citizen power and influence</li> <li>Community cohesion, identity, local pride</li> <li>Community resilience</li> <li>Domestic violence</li> <li>Family relationships</li> <li>Language, cultural and spirituality</li> <li>Neighbourliness</li> <li>Social exclusion i.e. homelessness</li> <li>Parenting and infant attachment</li> <li>Peer pressure</li> <li>Racism</li> <li>Sense of belonging</li> <li>Social isolation/loneliness</li> <li>Social capital/support/networks</li> <li>Third sector &amp; volunteering</li> </ul>	<p>As above</p>	<p>As above</p>		
<p><b>7.4 Mental Wellbeing</b></p> <ul style="list-style-type: none"> <li>Does this proposal support sense of control?</li> <li>Does it enable participation in community and economic life?</li> <li>Does it impact on emotional wellbeing and resilience?</li> </ul>	<p>As above</p>	<p>As above</p>		
<p><b>7.5 Living/ environmental conditions affecting health</b></p> <ul style="list-style-type: none"> <li>Air quality</li> </ul>	<p>As above</p>	<p>As above</p>		

<ul style="list-style-type: none"> <li>• Attractiveness/access/availability/quality of area, green and blue space, natural space.</li> <li>• Health &amp; safety, community, individual, public/private space</li> <li>• Housing, quality/tenure/indoor environment</li> <li>• Light/noise/odours, pollution</li> <li>• Quality &amp; safety of play areas (formal/informal)</li> <li>• Road safety</li> <li>• Urban/rural built &amp; natural environment</li> <li>• Waste and recycling</li> <li>• Water quality</li> </ul>				
<p><b>7.6 Economic conditions affecting health</b></p> <ul style="list-style-type: none"> <li>• Unemployment</li> <li>• Income, poverty (incl. food and fuel)</li> <li>• Economic inactivity</li> <li>• Personal and household debt</li> <li>• Type of employment i.e. permanent/temp, full/part time</li> <li>• Workplace conditions i.e. environment culture, H&amp;S</li> </ul>	As above	As above		
<p><b>7.7 Access and quality of services</b></p> <ul style="list-style-type: none"> <li>• Careers advice</li> <li>• Education and training</li> <li>• Information technology, internet access, digital services</li> <li>• Leisure services</li> <li>• Medical and health services</li> <li>• Other caring services i.e. social care; Third Sector, youth services, child care</li> <li>• Public amenities i.e. village halls, libraries, community hub</li> <li>• Shops and commercial services</li> <li>• Transport including parking, public transport, active travel</li> </ul>	As above	As above		

<p><b>7.8 Macro-economic, environmental and sustainability factors</b></p> <ul style="list-style-type: none"> <li>• Biodiversity</li> <li>• Climate change/carbon reduction/flooding/heatwave</li> <li>• Cost of living i.e. food, rent, transport and house prices</li> <li>• Economic development including trade</li> <li>• Government policies i.e. Sustainable Development principle (integration; collaboration; involvement; long term thinking; and prevention)</li> <li>• Gross Domestic Product</li> <li>• Regeneration</li> </ul>	As above	As above		
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**Stage 3**

**Summary of key findings and actions Please answer question 8.1 following the completion of the EHIA and complete the action plan**

Key findings: Impacts/gaps/opportunities	Actions (what is needed and who needs to do) to address the identified mitigation and recommendations	Lead		
<p>The contents of the procedure has no disproportionate impact on the grounds of race, gender, disability, age, sexual orientation, religious belief or Welsh language.</p> <p>The procedure is applicable to all members of staff equally and is designed to assist staff responsible for procuring, ordering, approving and receipting goods and services in the discharge of their responsibilities.</p>				

<p>The Impact Assessment has found that, by introducing the revised policy, there may be a negative impact on individuals due to:</p> <p><b>Persons with a disability as defined in the Equality Act 2010</b></p> <p>Whilst the contents of the procedure does not have a negative impact on persons with a disability, as with all written control documents there may be a negative impact due to the format of the control document that is available. Documents are published on the intranet in pdf format.</p> <p>Visual impairment – not all accessibility software accepts pdf format and therefore an alternative format may be required.</p> <p><u>Learning disability</u> - The documents may also not be understood by those who have difficulty deciphering or reading the written word, for example, dyslexia. Therefore, further explanation and support may be required.</p>	<p>Public Health Wales does have provision for the production of documents that are accessible to persons with disabilities. Large print, Braille or audio versions could be provided on request.</p> <p>Members of the Finance Division are available to provide advice and assistance in applying the procedure.</p>			
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**Alternatively, if appropriate, please explain the steps taken to consult with and consider the differential impact of the changes on the various protected characteristic groups (part 2) or any specific identified population groups (part 3).**