

 <p> GIG CYMRU NHS WALES </p> <p> Iechyd Cyhoeddus Cymru Public Health Wales </p>	<p> Name of Meeting Quality, Safety and Improvement Committee Date of Meeting 26/02/2026 Agenda item: 9 </p>
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NHS Wales Performance and Improvement – Quality, Safety and Improvement Committee (QSIC) Quarterly Assurance Report (3)

Report Sponsors:	Chris Clayton, Managing Director
Report Author:	Sophie Fuller, Assistant Director of Corporate Governance
Approval/Scrutiny route:	Approval/scrutiny for NHS Wales Performance and Improvement is via the Senior Leadership Team (SLT). This report was approved at the Senior Leadership Team on 27.01.2026. Business Executive Team 04.02.26

Purpose

The purpose of this report is to provide a quarterly assurance report to the Quality, Safety and Improvement Committee, on the relevant governance compliance areas as outlined in the NHS Wales Performance and Improvement Assurance Schedule 2025-26.

This report covers the period 1 October 2025 to 31 December 2025 and provides assurance on the following areas.

- Health and Safety Compliance
- National Reportable Incident Reporting compliance
- Complaints (including PTR if applicable) compliance
- Claims reporting
- DATIX compliance
- Safeguarding compliance

Recommendation:

APPROVE <input type="checkbox"/>	CONSIDER <input type="checkbox"/>	RECOMMEND <input type="checkbox"/>	ADOPT <input type="checkbox"/>	ASSURANCE <input checked="" type="checkbox"/>
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The Committee is asked to:

Health and Safety

- **Take assurance** that the NHS P&I has appropriate measures are in place to monitor compliance and to address areas identified for improvement.

National Reportable Incident Reporting compliance

- **Note** there have been no reportable incidents to report.

Complaints (including PTR if applicable) compliance

- **Note** there has been one complaint received regarding the NHS Wales Performance and Improvement for this period to report to Committee.

Claims reporting (staff and third-party claims)

- **Note** there have been no claims received this period and **take assurance** that Claims within the NHS Wales Performance and Improvement are being appropriate managed.

DATIX compliance

- **Note** there has been six incidents reported on Datix for this period and **take assurance** that the appropriate process has been followed within NHS P&I to manage these incidents.

Safeguarding compliance

- **Note** there have been no safeguarding issues reported for this period to report to Committee.

Link to Public Health Wales [Strategic Plan](#)

Public Health Wales is the Host Organisation for the NHS Wales Performance and Improvement ('the Hosted Unit'). The *Hosting Agreement ('the Agreement')* between Public Health Wales (PHW) NHS Trust and The Welsh Ministers was approved by the PHW Board on 26th January 2023 and took effect from the launch of NHS Wales Performance and Improvement on 1st April 2023.

The Agreement remains extant and, to take account of variations to the Agreement, an Addendum was approved by the PHW Board on 28th March 2024.

Public Health Wales is not responsible or accountable for setting the direction for, or the work programme of, the Hosted Unit or for the delivery/quality or management of work undertaken by the Hosted Unit on behalf of Welsh Government.

Summary impact analysis

Equality and Health Impact Assessment	A specific Equality and Health Impact Assessment (EHIA) is not required to support of this report.
Risk and Assurance	This report provides assurance on the

	implementation of the relevant policy and Procedures within the NHS Wales P&I, ensuring good governance is maintained.
Health and Social Care (Quality and Engagement) (Wales) Act	This paper supports the Quality themes.
Financial implications	There are no financial implications as a result of this report.
People implications	There are no people implications as a result of this report.

1. Purpose / situation

The purpose of this report is to provide a quarterly assurance report to the Audit and Corporate Governance Committee, on the relevant governance compliance areas as outlined in the NHS P&I Assurance Map.

This report covers the period 1 October 2025 to 31 December 2025 and provides assurance on the following areas.

- Health and Safety Compliance
- National Reportable Incident Reporting compliance
- Complaints (including PTR if applicable) compliance
- Claims reporting
- DATIX compliance
- Safeguarding compliance

The sections below provide a summary of the current status for the areas listed above.

2. Health and Safety

As a Hosted Unit, NHS Wales Performance and Improvement (NHS P&I) operates within appropriate policies established by PHW in support of legislative compliance, and this includes legislation relating to health and safety. An annual statement of compliance is completed by the Responsible Officer (RO) on behalf of NHS Wales Performance and Improvement and support in delivery by the wider Senior Leadership Team.

Work continues to standardise the corporate induction across NHS P&I. Due to the organisational design and development this has been slightly delayed and will be launched in Q4. This will include an overview of the requirements to work within relevant PHW policies, statutory and mandatory training and the health and safety for homeworkers training.

During the reporting period there were six health and safety matters recorded as incidents on Datix. One related to fire safety, one related to slip, trip or fall and four related to security of premise and action has been taken for all.

There were no health and safety premises inspection audits during the reporting period. Advice has been sought from the PHW Head of Estates and Health &

Safety on the timetable for the remainder of 2025/26.

Compliance with statutory and mandatory training is reported monthly to the SLT, within a broader People and OD Report provided by PHW POD colleagues. As of **31 December**, compliance for health and safety and related themes was:

Competence Name	Assignment Count	Required	Achieved	Compliance %
Fire Safety - 2 Years	468	468	420	89.74%
Health, Safety and Welfare - 3 Years	468	468	440	94.02%
Moving and Handling - Level 1 - 2 Years	468	468	416	88.89%

In addition to the health and safety modules accessed via ESR and reported via the monthly POD report (as above), arrangements have been made to provide access for staff to the '*Health and Safety for Homeworkers*' training module. With the support of the PHW Health & Safety Advisor, the monitoring of this has moved centrally supported by local management via a Business Leads Group.

3. National Reportable Incident Reporting compliance

The reporting arrangements outlined in Section 2, apply.

There have been no Nationally reportable incidents reported for this period.

4. Complaints (including PTR if applicable) compliance

The reporting arrangements outlined in Section 2, apply.

There has been one complaint reported for this period.

5. Claims reporting

The reporting arrangements outlined in Section 2, apply. There have been no claims submitted during this period to an Employment Tribunal.

6. DATIX compliance

The reporting arrangements outlined in Section 2 apply.

There were six incidents reported through Datix during this period.

Six were related to Health and Safety as detailed in Section 2.

7. Safeguarding compliance

The reporting arrangements outlined in Section 2 apply.

There have been no safeguarding matters reported for this period.

Compliance with statutory and mandatory training is reported monthly to the

SLT, within a broader People and OD Report provided by PHW POD colleagues. As of **31 December 2025**, compliance for Safeguarding was:

Competence Name	Assignment Count	Required	Achieved	Compliance %
Safeguarding Adults - Level 1 - 3 Years	468	468	433	92.52%
Safeguarding Children - Level 1 - 3 Years	468	468	429	91.67%

8. Conclusion

The report provides assurance to the Committee that the NHS P&I is meeting the requirements for each of the areas within the report.

There are no concerns to bring to the attention of the Committee relevant to the areas listed.

9. Recommendation

The Quality, Safety and Improvement Committee is asked to:

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Safeguarding compliance

- **Note** there have been no safeguarding issues reported for this period to report to Committee.