

Internal and External Audit Activity Plan for 2022/23

Last updated: 3/5/22

Source	Planned Output 2	SRR Ref	Outline Scope	Executive Lead	Outline Timing	Date received	Date Presented to ACGC	Committee Remit	Date presented to Remit	Assurance Rating	Total No. of Actions	High	Med	Low
Internal Audit	Health & Safety	SR4	To consider corporate reporting of H&S matters, with consideration of how staff working at home is addressed.	Deputy Chief Executive, Executive Director Operations and Finance	Quarter 1		Sep-22	QSIC	July/ Nov					
Internal Audit	Data	SR3	To consider the information provision of the Trust. Is the information that the Trust provides both to the public and to other organisations the right type, in the right format, and useful to NHS Wales.	National Director of Public Health Knowledge and Research	Quarter 1		Sep-22	KRIC	June / Oct					
External Audit (Audit Wales)	Audit of the 2021-22 Accountability Report and Financial Statements				Quarter 1		07-Jun-22	Board	Jun-22					
External Audit (Audit Wales)	Review of quality governance arrangements at the Trust	-	The review looks at whether the Trust's organisational governance arrangements support delivery of high quality, safe and effective services.	Executive Director Quality, Nursing and Allied Health Professionals	Quarter 1		TBC	QSIC	May-23					
Internal Audit	Population Health awareness	SR2	To consider how the Trust is taking forward population health matters identified in the longterm strategy, such as obesity and tobacco. Consideration of milestones and progress with a Covid-19 context. Use of partnership arrangements.	Executive Director of Policy and International Health/Director of the WHO Collaborating Centre	Quarter 2		Sep-22	KRIC	June / Oct					
Internal Audit	Workforce – Sickness absence monitoring	SR4	To consider the effectiveness of sickness absence monitoring and reporting.	Director of People and Organisational Development	Quarter 2		Sep-22	PODC	Oct-23					
Internal Audit	Information Governance review	SR3	Focus to be determined following outcome of our IG toolkit work in 21/22. Possibly integrated governance integrity.	Executive Director Quality, Nursing and Allied Health Professionals	Quarter 2		Sep-22	ACGC	N/A					
Internal Audit	Finance	-	To consider financial management of plans at a directorate level.	Deputy Chief Executive, Executive Director Operations and Finance	Quarter 3		Jan-23	ACGC	N/A					
Internal Audit	Local public health teams	-	To consider the planned approach and its implementation.	Transitional Director Health and Wellbeing	Quarter 3		Jan-23	PODC	Jan 23 / April 23					
Internal Audit	Cyber security	SR3	The focus of our review will be determined by the outcome of our NIS directive review in 2021/22. Will be mindful of work plan of Cyber Resilience Unit hosted by DHCW.	Deputy Chief Executive, Executive Director Operations and Finance	Quarter 3		Jan-23	ACGC	N/A					
External Audit (Audit Wales)	Operational planning - Head of Strategy and Planning		This work will examine how well the Trust is applying the sustainable development principle in its review of its Well-being Objectives under the Well-being of Future Generations (Wales) Act 2015.	Deputy Chief Executive, Executive Director Operations and Finance	Quarter 3		Jan-23	Board	tbc					
Internal Audit	Risk management	SR1-4	To undertake a high-level review of the new risk process. Focusing on year one of implementation.	Executive Director Quality, Nursing and Allied Health Professionals	Quarter 4		Mar-23	ACGC	N/A					
Internal Audit	Annual Governance Statement (AGS)	-	To provide a 'sense check' of the AGS and narrative from Internal Audit annual report to be included in AGS. No formal report.	Board Secretary and Head of Board Business Unit	Quarter 4		Mar-23	QSIC	May-23					
Internal Audit	Health & Care Standards	-	To attend Trust meetings relating to H&C standards and provide input to the process. No formal report	Executive Director Quality, Nursing and Allied Health Professionals	Quarter 4		Mar-23	QSIC	May-23					
Internal Audit	Welsh Risk Pool (WRP) claim process	-	WRP guidance requires Internal Audit to review the process.	Executive Director Quality, Nursing and Allied Health Professionals	Quarter 4		Mar-23	ACGC	N/A					
Internal Audit	Health protection	SR1	Focus of our review to be determined with Medical Director following the outcome of the internal review of the health protection operating model.	National Director Health Protection and Screening Services, Executive Medical Director	Quarter 4		Mar-23	QSIC	May-23					