



 <p>GIG CYMRU NHS WALES   Iechyd Cyhoeddus Cymru Public Health Wales</p>	<p><b>Name of Meeting</b> Knowledge, Research and Information Committee</p> <p><b>Date of Meeting</b> 5 December 2023</p> <p><b>Agenda item:</b> 6</p>
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## Corporate Risk Register

<b>Executive lead:</b>	Claire Birchall, Interim Executive Director of Quality, Nursing and Allied Health Professionals
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<b>Approval/Scrutiny route:</b>	Leadership Team (2 November 2023) Business Executive Team (8 November 2023) Board (30 November 2023)
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**Purpose**

This paper presents the latest version of the Corporate Risk Register (CRR) reviewed by Leadership Team and the Business Executive Team and summarises the review process followed.

This includes the escalation of new risks to the CRR, the archiving of risks that have been addressed and the re-classification of some risks to issues. The paper also summarises the implementation progress of the Risk Management Development Plan (RMDP).

<b>Recommendation:</b>				
APPROVE <input type="checkbox"/>	CONSIDER <input checked="" type="checkbox"/>	RECOMMEND <input type="checkbox"/>	ADOPT <input type="checkbox"/>	ASSURANCE <input checked="" type="checkbox"/>
<p>The Committee is asked to:</p> <ul style="list-style-type: none"> <li>• <b>Consider</b> the latest version of the Corporate Risk Register for the risks within the remit of the Committee</li> <li>• <b>Take assurance</b> on the management of Corporate Risks within the organisation.</li> </ul>				



### Link to Public Health Wales [Strategic Plan](#)

Public Health Wales has an agreed strategic plan, which has identified seven strategic priorities and well-being objectives.

This report contributes to the following:

<b>Strategic Priority/Well-being Objective</b>	All Strategic Priorities/Well-being Objectives
<b>Strategic Priority/Well-being Objective</b>	Choose an item.
<b>Strategic Priority/Well-being Objective</b>	Choose an item.

### Summary impact analysis

<b>Equality and Health Impact Assessment</b>	N/A
<b>Risk and Assurance</b>	The paper presents the revised Corporate Risk Register
<b>Health and Social Care (Quality and Engagement) (Wales) Act</b>	N/A
<b>Financial implications</b>	N/A
<b>People implications</b>	N/A



## 1. Purpose / situation

This paper presents the latest version of the Corporate Risk Register (CRR) for risks within the remit of the Committee.

## 2. Background

The Board approved a re-refresh of the Long-Term Strategy in March 2023 in conjunction with a re-refresh of the organisation's Strategic Risks. The Business Executive Team retains oversight of Strategic Risks and has delegated oversight of Corporate Risks to the Leadership Team (LT). LT has subsequently begun to review the Corporate Risks alongside the refreshed Long-Term Strategy and Operational Plan.

This is an iterative process and the Risk Management Team continue to work with the LT to bring the CRR fully up to date along with developing the organisation's operational risk management framework as recommended by the recent external review. As part of the RMDP we are continuing to develop the linkages and escalation/de-escalation process with the Strategic Risk Register (SRR) and operational risk registers throughout the organisation. The Quality, Nursing and Allied Health Professionals Directorate (QNAHPs) is in the process of procuring further consultancy capacity to support this work and is continuing to pursue recruitment to the Head of Risk Management position.

## 3. Corporate Risk Register

The CRR had been relatively unchanged for some time and LT has worked with the Risk Management Team to assess the status of each risk in the CRR, along with its actions and mitigations but also to capture potential new risks to be added to the register. Some of the risks were deemed to have happened and were moved to an issues log, and others were considered to have been addressed and were therefore archived. Table 1 below shows the position for previous risks. The articulation, scoring, mitigation and actions for the current risks are not yet fully complete and will continue to be developed and addressed following this update as this live work on the CRR continues to be a priority business objective for the organisation.

In considering emerging corporate risks and those that can be archived/transferred to issues, LT has started to define risk escalation/de-escalation processes for the CRR and for operational level risks across the organisation. We acknowledge that these processes require strengthening and greater clarity, and this work will be completed as part of the RMDP.



Similarly, we will shortly commence work with the Planning and Performance Team and Health Protection to trial visualising the CRR and issues logs through a dashboard, acknowledging that the current spreadsheet presentation is difficult to use as a live tool.

The LT has considered the latest version of the CRR and identified the following:

- Existing risks proposed to remain on the Corporate Risk Register
- New risks identified to be added to the Corporate Risk Register
- Risks proposed to be archived with explanation of why this decision has been made
- Risks previously approved to be archived in August 2023 for completeness

An issues tab has been created alongside the Corporate Risk Register to be considered alongside corporate risks.

The full Corporate Risk Register was presented to Board on 30 November 2023, an extract below contains the risks relevant to this Committee:

### Corporate Risk Register (November 2023)

Risk	Lead Executive	Current Risk Score	Risk Decision
1531: There is a risk that we will fail to exploit data to inform and direct public health action and interventions caused by data being held in silos, difficulty accessing the data and inability to access to provide the impact on public health. resulting in worse public health outcomes in Wales and increased information governance risk within Public Health Wales	National Director of Data, Knowledge and Research	L5xI4=20	Treat



#### 4. Recommendation

The Committee is asked to:

- **Consider** the latest version of the Corporate Risk Register for the risks within the remit of the Committee
- **Take assurance** on the management of Corporate Risks within the organisation.