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Iechyd Cyhoeddus
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Public Health
Wales

Name of Meeting
Knowledge, Research and
Information Committee

Date of Meeting
13 June 2023

Agenda item:
8a

Managing Risk

Executive lead: Rhiannon Beaumont-Wood, Executive Director of Quality, Nursing and Allied Health Professionals

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Approval/Scrutiny route: Rhiannon Beaumont-Wood, Executive Director of Quality, Nursing and Allied Health Professionals Business Executive Team

Purpose

Receive strategic and corporate risks for the purpose of scrutiny and challenge and approve any changes within the Committee's remit.

Recommendation:

APPROVE

CONSIDER

RECOMMEND

ADOPT

ASSURANCE

Recommendation

The Committee is asked to:

- **Consider** the Strategic Risk Register relevant to the Committee's remit
- **Take assurance** that the organisation's Strategic risks within the remit of the Committee are being managed appropriately

Link to Public Health Wales [Strategic Plan](#)

Public Health Wales has an agreed strategic plan, which has identified seven strategic priorities.

This report contributes to all Strategic Priorities

Strategic Priority	Choose an item.
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Strategic Priority	Choose an item.
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Summary impact analysis

Equality and Health Impact Assessment	No decision is required.
Risk and Assurance	This submission is the relevant strategic and corporate risks.
Health and Care Standards	This report supports and/or takes into account the Health and Care Standards for NHS Wales Quality Themes Governance, Leadership and Accountability
Financial implications	The financial implications of failing to manage corporate risk effectively are significant both in terms of the potential for loss and failure to capitalise on opportunities.
People implications	No people implications.

1. Purpose/situation

This paper presents the 2022/23 strategic risk that potentially has relevance to the remit of the Knowledge, Research and Information Committee.

The paper highlights any changes since the Committee last reviewed the risk(s). This paper seeks approval from the Committee for any changes and confirmation that the Committee are assured that the risk(s) are being managed appropriately.




The Strategic Risk Register details the highest-level risks that could prevent the organisation from delivering on its strategic priorities.

The Corporate Risk Register details the highest-level operational risks that are being managed on a day-to-day basis by Executive Directors.

The Committee will be aware that the Strategic Risks have been re-freshed and approved by the Board on 25 May 2023. This is the last time the Committee will receive the 2022/23 strategic risks. The 2022/23 Strategic Risk Register and the refreshed risk register will be cross referenced by the Risk Owners and any outstanding actions will either be transferred across, closed down, or de-escalated to the Corporate Risk Register. This will be brought back to the next Committee meeting for consideration and assurance.

2. Delivery Confidence Assessment

All strategic risks carry a delivery confidence assessment assigned by the Executive Sponsor. The table below demonstrates the RAG status.

DCA RAG	DCA Description
	High degree of confidence exists that the controls and actions identified will mitigate the risk to the required level.
	It is feasible that the controls and actions identified will mitigate the risk to the required level but issues remain outstanding that require addressing.
	There is little confidence that the controls and actions identified will mitigate the risk to the required level.

The Register now contains six risks, one of which has this Committee as the assuring group and is detailed in section 4.

3. Risk Appetite

The strategic themes have been assessed with a risk appetite being approved for each. All strategic and corporate risks have been assessed against the risk appetite. The table below demonstrates the risk appetite by strategic theme.

Strategic Theme	Appetite Descriptor
Enabling better population health and reducing health inequalities through preventative and sustainable measures	Willing
Delivering excellent services for population screening programmes, health protection and infection	Cautious
Supporting improvements in the quality and safety of health and care services	Keen
Maximising the use of digital, data and evidence to improve population health	Willing
Enabling the successful delivery of the plan	Willing

4. Strategic Risks

The Strategic Risk Register (SRR) is the vehicle through which the Board takes assurance that it has a clear understanding of the strategic risks facing the organisation in the delivery of its strategic objectives, together with the severity and the impacts if the risks are realised.

Public Health Wales has six strategic risks with one risk sitting within the remit of this Committee. A full copy of the risk is provided in Appendix 1.

4.1. Risk 5

The current risk score remains the same. As raised previously the key hires are currently embedding into the organisation and their expertise has not been realised.

Risk Description						
There is a risk that we will fail to exploit data to inform and direct public health action and interventions. This will be caused by data being held in silos, difficulty accessing the data and inability to access to provide the impact on public health. This will result in worse public health outcomes in Wales and increased information governance risk within Public Health Wales.						
Risk Appetite			Proposal for de-escalation or removal*			
Willing			Not Applicable			
Risk Scoring	Score at last Committee			Present Score		
Inherent	5	4	20	5	4	20
Current	5	4	20	5	4	20
Target	3	4	12	3	4	12
DCA at last Committee			Present Live DCA			
Amber			Amber			
Executive Sponsor Insight						

Progress continues across all actions with significant development regarding discovery and alpha phases that have now commenced. This includes: Discovery Phase – Single Disease Register, Screening IT, Web estate and Alpha Phase for Diabetic Eye Screening.

We are now progressing the highest priority areas from these and finalising the digital and data strategy.

We remain at the early stages of developing into digital and data. We are entering a number of discoveries and are developing the strategies necessary to mitigate this risk and need to gain cross-organisation momentum which will, in the future, help lower the risk rating but these are at early stages. In addition, we are identifying how the directorate can partner with other directorate in regards to IMTP deliverables. Early engagement with the directorate is essential to fully understand the scope of the requirements.

Overview of changes to controls/actions

(text marked in red in appendix 1).

Controls	No changes.
Actions	<ul style="list-style-type: none"> • Alpha phases being scoped in screening, diabetic eye screening and web estate • Next steps work on registers discovery is being planned out • Digital and data strategy to be finalised over summer
Opportunities	None identified.
Committee consideration of this risk / links to the workplan	<p>The Committee has regular updates on the development of both the Research and Evaluation Strategy, and the Digital and Data Strategy and are a key focus for the Committee in this year's work plan.</p> <ul style="list-style-type: none"> • Digital and Data Strategy: The Committee had a detailed discussion at its last meeting on 8 March 2023 noting that the strategy would be considered at the next meeting for endorsement to Board. • Research and Evaluation Strategy: The Committee took assurance from an update on progress for this strategy at its last meeting on 8 March 2023, and noted that the private sector would be consulted at a future stage and asked that engagement with existing partners be considered during the scoping stage.

5. Corporate Risk Register (CRR)

Public Health Wales has seven corporate risks. Currently, there are no identified corporate risks that require scrutiny at this Committee.

6. Additional Considerations

The following section details any additional considerations for this Committee.

6.1. New Risks

There have been no new risks identified since the last Committee that are proposed to fall under the remit of the Committee.

6.2. Risks proposed to the escalated or de-escalated*

There have been no new risks identified since the last Committee for consideration to be escalated or de-escalated between the Directorate or Organisational wide registers to the Strategic or Corporate Risk Register that fall under the remit of the Committee.

*For any risks proposed to be escalated or de-escalated, the completed form with rationale would be provided in Appendix 2.

7. Well-being of Future Generations (Wales) Act 2015

No decision required.

Recommendation

The Committee is asked to:

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- **Take assurance** that the organisation's Strategic risks within the remit of the Committee are being managed appropriately