 GIG CYMRU NHS WALES Iechyd Cyhoeddus Cymru Public Health Wales	Name of Meeting Knowledge, Research and Information Committee Date of Meeting 6 th December 2022 Agenda item: 6
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Managing Risk				
Executive lead:	Rhiannon Beaumont-Wood, Executive Director of Quality, Nursing and Allied Health Professionals			
Author:	Beth Osborne, Risk Manager			
Approval/Scrutiny route:	Rhiannon Beaumont-Wood, Executive Director of Quality, Nursing and Allied Health Professionals			
Purpose				
Receive strategic and corporate risks for the purpose of scrutiny and challenge and approve any changes within the Committee's remit.				
Recommendation:				
APPROVE <input type="checkbox"/>	CONSIDER <input checked="" type="checkbox"/>	RECOMMEND <input type="checkbox"/>	ADOPT <input type="checkbox"/>	ASSURANCE <input checked="" type="checkbox"/>
Recommendation The Committee is asked to: <ul style="list-style-type: none"> • Consider the Corporate Risk Register for any relevance to the Committee's remit • Consider the Strategic Risk Register relevant to the Committee's remit • Take assurance that the organisation's Strategic and Corporate risks are being managed appropriately 				

Link to Public Health Wales [Strategic Plan](#)

Public Health Wales has an agreed strategic plan, which has identified seven strategic priorities.

This report contributes to all Strategic Priorities

Strategic Priority	Choose an item.
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Strategic Priority	Choose an item.
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Summary impact analysis

Equality and Health Impact Assessment	No decision is required.
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Risk and Assurance	This submission is the relevant strategic and corporate risks.
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Health and Care Standards	This report supports and/or takes into account the Health and Care Standards for NHS Wales Quality Themes
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Governance, Leadership and Accountability

Financial implications	The financial implications of failing to manage corporate risk effectively are significant, both in terms of the potential for loss and also the failure to capitalise on opportunities.
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People implications	No people implications.
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1. Purpose/situation

This paper presents any strategic and corporate risks that potentially have relevance to the remit of the Knowledge, Research and Information Committee.


The paper highlights any changes since the Committee last reviewed the risk(s) and seeks approval from the Committee for any changes and confirmation that the Committee is assured that the risk(s) are being managed appropriately.

The Strategic Risk Register details the highest level risks that could prevent the organisation from delivering on its strategic priorities.

The Corporate Risk Register details the highest level operational risks that are being managed on a day-to-day basis by Executive Directors.

2. Delivery Confidence Assessment

All strategic risks carry a delivery confidence assessment assigned by the Executive Sponsor. The table below demonstrates the RAG status.

DCA RAG	DCA Description
	High degree of confidence exists that the controls and actions identified will mitigate the risk to the required level.
	It is feasible that the controls and actions identified will mitigate the risk to the required level but issues remain outstanding that require addressing.
	There is little confidence that the controls and actions identified will mitigate the risk to the required level.

The Register now contains six risks, one of which has this Committee as the assuring group and detailed in section 4.

3. Risk Appetite

The strategic themes have been assessed with a risk appetite being approved for each. All strategic and corporate risks have been assessed against the risk appetite. The table below demonstrates the risk appetite by strategic theme.

Strategic Theme	Appetite Descriptor
Enabling better population health and reducing health inequalities through preventative and sustainable measures	Willing
Delivering excellent services for population screening programmes, health protection and infection	Cautious
Supporting improvements in the quality and safety of health and care services	Keen

Maximising the use of digital, data and evidence to improve population health	Willing
Enabling the successful delivery of the plan	Willing

4. Strategic Risks

The Strategic Risk Register (SRR) is the vehicle through which the Board takes assurance that it has a clear understanding of the strategic risks facing the organisation in the delivery of its strategic objectives, together with the severity and the impacts if the risks are realised.

Public Health Wales has six strategic risks with one risk sitting within the remit of this Committee. A full copy of the risk is provided in Appendix 1.

4.1. Risk 5

Key investments have been made and we will further review the actions to ensure they are the right actions to impact positively on the risk. It is recognised that the impact of these actions will be longer term. The previous gaps in controls have now been updated and filled. The risk score remains the same.

Risk Description						
There is a risk that we will fail to exploit data to inform and direct public health action and interventions. This will be caused by data being held in silos, difficulty accessing the data and inability to access to provide the impact on public health. This will result in worse public health outcomes in Wales and increased information governance risk within Public Health Wales.						
Risk Appetite				Proposal for de-escalation or removal*		
Willing				Not Applicable		
Risk Scoring	Score at last Committee			Present Score		
Inherent	5	4	20	5	4	20
Current	5	4	20	5	4	20
Target	3	4	12	3	4	12
DCA at last Committee				Present Live DCA		
Amber				Amber		
Executive Sponsor Insight						
A key element of the risk relates to the recruitment of key hires. All investments posts have now been appointed into.						
Overview of changes to controls/actions (text marked in red in appendix 1).						

Controls	The source of assurance for control (SR 5.4) has been amended since it was last submitted to the Committee. The replaced source now provides assurance to the highest level.
Actions	The gaps in control to which the identified actions are addressing have now been indicated. One action has been completed and another, the due date has been changed to align with the LTS.

5. Corporate Risk Register (CRR)

Public Health Wales has seven corporate risks. There are currently no identified corporate risks that require scrutiny at this Committee; however the CRR is being presented for completeness.

6. Additional Considerations

The following section details any additional considerations for this Committee.

6.1. New Risks

There have been no new risks identified since the last Committee that are proposed to fall under the remit of the Committee.

6.2. Risks proposed to the escalated or de-escalated*

There have been no new risks identified since the last Committee for consideration to be escalated or de-escalated between the Directorate or Organisational wide registers to the Strategic or Corporate Risk Register that fall under the remit of the Committee.

*For any risks proposed to be escalated or de-escalated, the completed form with rationale would be provided in Appendix 2.

7. Well-being of Future Generations (Wales) Act 2015

No decision required.

Recommendation

The Committee is asked to:

- **Consider** the Corporate risks for any relevance to the Committee's remit

- **Consider** the Strategic risks relevant to the Committee's remit
- **Take assurance** that the organisation's Strategic and Corporate risks are being managed appropriately.