

 <b>GIG CYMRU NHS WALES</b>   Iechyd Cyhoeddus Cymru Public Health Wales	<b>Name of Meeting</b> Knowledge, Research and Information Committee <b>Date of Meeting</b> 16 June 2022 <b>Agenda item:</b> 10.1
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## Register of policies and written control documents update

<b>Executive lead:</b>	Helen Bushell, Board Secretary and Head of Board Business Unit
<b>Author:</b>	Liz Blayney, Deputy Board Secretary and Board Governance Manager

<b>Approval/Scrutiny route:</b>	Helen Bushell, Board Secretary and Head of Board Business Unit
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### Purpose

The report provides the Knowledge, Research and Information Committee with an update on the status of the policies, procedures and other written control documents for which it is the approving body.

**Appendix 1** is an extract taken from the central Policy and Control Document Register and shows the status of documents (where this Committee is the approving body) at end of quarter 3.

### Recommendation:

APPROVE <input type="checkbox"/>	CONSIDER <input type="checkbox"/>	RECOMMEND <input type="checkbox"/>	ADOPT <input type="checkbox"/>	ASSURANCE <input checked="" type="checkbox"/>
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The Committee is asked to:

- **Receive assurance** on the prioritisation and progress being made to review Knowledge, Research and Information Committee policies, procedures and other written control documents.

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**Link to Public Health Wales [Strategic Plan](#)**

Public Health Wales has an agreed strategic plan, which has identified seven strategic priorities and well-being objectives.

This report contributes to seven of the strategic priorities and well-being objectives.

**Summary impact analysis**

<b>Equality and Health Impact Assessment</b>	An Equality and Health Impact Assessment is not required in support of this report. An impact assessment should be undertaken for each of the respective policies when they are developed or reviewed.
<b>Risk and Assurance</b>	A risk assessment has been undertaken for each policy which has passed its review date. These are captured in the accompanying register (see Appendix 1) and a summary is detailed below.
<b>Health and Care Standards</b>	This report supports and/or takes into account the <a href="#">Health and Care Standards for NHS Wales</a> Quality Themes  Governance, Leadership and Accountability
<b>Financial implications</b>	Not applicable
<b>People implications</b>	Not applicable

## **1. Purpose / situation**

The report provides the Knowledge, Research and Information Committee with an update on the status of the policies, procedures and other written control documents (policies) for which it is the approving body.

**Appendix 1** is an extract taken from the central Policy and Control Document Register and shows the status of documents as at 8 June 2022.

## **2. Background**

The Board approved a new organisation-wide Policy and Written Control Documents Policy and Procedure in September 2016. All new/revised documents are now developed and approved in accordance with the provisions and processes set out in these documents.

Section 4.2 of the procedure specifies that the Register will be reported annually to the Board, and the relevant sections reported to board committees twice yearly. This provides the Board and committees with assurance that required policies, procedures and other written control documents are being developed and maintained.

## **3. Description/Assessment**

### **3.1 Status of policies and other written control documents**

There are four policies on the policy register, for which the Knowledge, Research and Information Committee is the approving body. Three (75%) policies are in date and one (25%) policy is due for review.

For all of the policies due for review, the decision updates are provided within appendix 1.

Table 1 provides information regarding the status of policies due for review and a summary of the outcome of the risk assessments undertaken.

The Executive colleague responsible for the policy due for review is aware and taking active steps to ensure it is reviewed and appropriate approval sought.

Policy review status	Policy not yet approved but low risk presented	Policy not yet approved and moderate risk presented.	Policy not yet approved and high risk presented.	Risk assessment awaited	Number of Policies
Date passed - action underway	0	1	0		1

Table 1

### 3.2 Well-being of Future Generations (Wales) Act 2015

Hirdymor		Long Term	Organisational policies, procedures and written control documents provide the organisation with long-term controls for risks.
Atal		Prevention	Organisational policies, procedures and written control documents provide staff within instruction and guidance, to prevent non-compliance.
Integreiddio		Integration	A number of policies, procedures and written control documents are interdependent with one another. Content is cross-referenced and integrated as appropriate.
Cydweithio		Collaboration	All policies, procedures and written control documents (and associated EHIAs) are developed on a collaborative basis.
Cynnwys		Involvement	During development and review policies and written control document are made available to Public Health Wales staff so that they can provide comment for consideration

### 4. Recommendation

The Knowledge, Research and Information Committee is asked to:

- **Receive assurance** on the prioritisation and progress being made to review policies, procedures and other written control documents.