

# Open Session: Part B and A - Audit and Corporate Governance Committee / Sesiwn Agored: Rhannau B ac A - Pwyllgor Archwilio a Llywodraethu Corfforaethol



Tue 30 September 2025, 10:45 - 13:00

CQ2 3.3

## Agenda

### Part B / Rhan B

- 10:45 - 10:45** **1.**  
0 min **Welcome, Introductions and Apologies for Absence / Croeso, Cyflwyniadau ac Ymddiheuriadau am Absenoldeb**

(10:45 - 10:50) (5 minutes/munud)

**Kate Young**

Chair / Chadeirydd

- 10:45 - 10:45** **2.**  
0 min **Declarations of Interest / Datgan Buddiannau**

**Kate Young**

Chair / Chadeirydd

- 10:45 - 10:50** **3.**  
5 min **NHS Performance and Improvement / Perfformiad a Gwella GIG Cymru**

(10:50 - 10:55) (5 minutes/munud)


**For Assurance / I'w sicrhau**

#### **3.1.**

**NHS Wales Performance and Improvement - Audit and Corporate Governance Committee Assurance Report / Perfformiad a Gwelliant GIG Cymru - Adroddiad Sicrwydd y Pwyllgor Archwilio a Llywodraethu Corfforaethol**

**Claire Green**

National Director of Financial Planning and Delivery and Responsible Officer, NHS Performance and Improvement / Cyfarwyddwr Cenedlaethol Cynllunio a Darparu Ariannol a Swyddog Cyfrifol, Business Perfformiad a Gwella GIG Cymru

 3.1 ACGC 2025\_09\_30 - NHSPI ACGC Report.pdf (9 pages)

#### **3.2.**

**NHS Wales Performance and Improvement Internal Audit / Perfformiad a Gwelliant GIG Cymru Archwilio Mewnol**

**Paul Dalton**

Head of Internal Audit, NHS Wales Shared Services Partnership - Audit and Assurance Services / Pennaeth Archwilio Mewnol, Partneriaeth Cydwasaethau GIG Cymru - Gwasanaethau Archwilio a Sicrwydd

10:50 - 10:50

**4.**

0 min

## **Closing Administration / Materion Gweinyddol i Gloi**

(10:55 - 10:55)

Date of Next Meeting / Dyddiad y Cyfarfod Nesaf

16 December 2025 / 16 Rhagfyr 2025

## **Part A / Rhan A**

10:50 - 10:55

**5.**

5 min

## **Welcome, Introductions and Apologies for Absence / Croeso, Cyflwyniadau ac Ymddiheuriadau am Absenoldeb**

(10:50 - 10:55)

(5 minutes/munud)

**Kate Young**

Chair / Chadeirydd

10:55 - 10:55

**6.**

0 min

## **Declarations of Interest / Datgan Buddiannau**

**Kate Young**

Chair / Chadeirydd

10:55 - 11:50

**7.**

55 min

## **Internal, External and Clinical Audit / Archwilio Mewnol, Allanol a Chlinigol**

(10:55 - 11:45)

**7.1.**

### **Internal Audit / Archwilio Mewnol**

(10:55 - 11:10)

(15 minutes/munud)

**For Assurance / I'w sicrhau**

**Paul Dalton**

Head of Internal Audit, NHS Wales Shared Services Partnership - Audit and Assurance Services / Pennaeth Archwilio Mewnol, Partneriaeth Cydwasaethau GIG Cymru - Gwasanaethau Archwilio a Sicrwydd

Progress Report / Adroddiad Cynnydd

Reports / Adroddiadau:

- Non-core Funding / Cyllid Di-graidd
- Policies Procedures Management / Polisiau Gweithdrefnau Rheoli
- Speaking Up Safely / Codi Llais Heb Ofn

7.1 ACGC 2025\_09\_30 - PHW - Progress report - September 2025.pdf (6 pages)

7.1 ACGC 2025\_09\_30 - PHW2526-01 Non-core Funding internal audit report Final v2.pdf (9 pages)

7.1 ACGC 2025\_09\_30 - PHW2526-02 Policies Procedures Mgmt Final Report.pdf (9 pages)

7.1 ACGC 2025\_09\_30 - Speaking Up Safely Final Internal Audit Report.pdf (12 pages)

## **Break / Egwyl**

(11:10 - 11:20) (10 minutes/munud)

## 7.2.

### External Audit / Archwilio Allanol

(11:20 - 11:35) (15 minutes/munud)

For Assurance / I'w sicrhau

#### Anne Beegan

Audit Wales / Archwilio Cymru

Audit Wales Update / Diweddariad Archwilio Cymru

Reports / Adroddiadau:

- PHW Improving Governance Report / ICC Adroddiad Gwella Llywodraethu

📄 7.2 ACGC 2025\_09\_30 - Audit Wales ACGC Update 2025 09.pdf (10 pages)

📄 7.2 ACGC 2025\_09\_30 - PHW Improving Governance Report (final).pdf (34 pages)

📄 7.2 ACGC 2025\_09\_30 - PHW Improving Governance Management Response September 2025.pdf (2 pages)

## 7.3.

### Audit Recommendations Tracker / Traciwr Argymhellion Archwilio

(11:35 - 11:50) (15 minutes/munud)

For Assurance / I'w sicrhau

#### Liz Blayney

Deputy Board Secretary and Deputy Head of Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

📄 7.3 ACGC 2025\_09\_30 - Audit Action Tracker.pdf (71 pages)

11:50 - 12:25

35 min

## 8.

### Governance and Accountability / Llywodraethu ac Atebolrwydd

(11:50 - 12:20)

## 8.1.

### Minutes 8 May and 23 June 2025, Action Log and Matters Arising Part A and B / Cofnodion 8 May a 23 Mehefin 2025, Log Gweithredu a Materion Yn Codi Cyfarfod Rhannau A a B

(11:50 - 11:55) (5 minutes/munud)

For Approval / I'w Cymeradwyo

📄 8.1a ACGC 2025\_09\_30 - ACGC Public Unconfirmed Minutes Parts A and B 8 May 2025.pdf (12 pages)

📄 8.1b ACGC\_2025\_09\_30 - Public Unconfirmed Minutes Parts A and B 8 May 2025 Welsh.pdf (12 pages)

📄 8.1c ACGC 2025\_09\_30 - Public Unconfirmed Minutes Parts A 23 June 2025.pdf (4 pages)

📄 8.1d ACGC 2025\_09\_30 - Public Unconfirmed Minutes Part A 23 June 2025 Welsh.pdf (4 pages)

📄 8.1e ACGC 2025\_09\_30 - Action Log.pdf (1 pages)

📄 8.1f ACGC 2025\_09\_30 - Action Log.pdf (1 pages)

## 8.2.

### Integrated Governance Assurance Update / Diweddariad ar Sicrwydd LlywodraethuIntegredig

(11:55 - 12:05) (10 minutes/munud)

For Assurance / I'w sicrhau

#### Stuart Silcox

Assistant Director of Integrated Governance / Cyfarwyddwr Cynorthwyol Llywodraethu Integredig

📄 8.2 ACGC 2025\_09\_30 - Q1 (25-26) Integrated Governance Performance Report.pdf (8 pages)

### 8.3.

## Governance Bi-Annual Updates / Diweddariadau Llywodraethu dwywaith y Flwyddyn

(12:15 - 12:20)


(5 minutes/munud)


### For Assurance / I'w sicrhau

**Liz Blayney**


Deputy Board Secretary and Deputy Head of Board Business Unit / Dirprwy Ysgrifennydd y Bwrdd a Dirprwy Bennaeth Uned Fusnes y Bwrdd

- Declarations of Interest and Gifts and Hospitality / Datgan Buddiannau a Rhoddion o Letygarwch
- Welsh Health Circulars / Cylchlythyrau Iechyd Cymru
- Joint Working Framework Register / Cofrestr Fframwaith Gweithio ar y Cyd
- Policies / Polisiâu

 8.3 ACGC 2025\_09\_30 - Governance Update Cover Report.pdf (14 pages)

 8.3 ACGC 2025\_09\_30 - Appendix 1 Dol Board Members at 11 September 2025.pdf (3 pages)

 8.3 ACGC 2025\_09\_30 - Appendix 3 Gifts and Hospitality at 11 September 2025.pdf (1 pages)

 8.3 ACGC 2025\_09\_18 - Appendix 4 Welsh Health Circulars 01042025-11092025.pdf (2 pages)

 8.3 ACGC 2025\_09\_30 - Appendix 5 Joint Working Framework List - Active.pdf (3 pages)

 8.3 ACGC 2025\_09\_30 - Appendix 6 Joint Working Framework List - Inactive.pdf (4 pages)

 8.3 ACGC 2025\_09\_30 - Appendix 7 Corporate Policy Register Extract.pdf (1 pages)

### 8.4.

## Policies for Approval / Polisiâu i'w Cymeradwyo

(12:20 - 12:25)

(5 minutes/munud)


#### 8.4.1.

### Accounts Receivable Financial Control Procedure / Gweithdrefn Rheoli Ariannol Cyfrifon Derbyniadwy

**Angela Williams**

Interim Executive Director of Operations and Finance / Cyfarwyddwr Gweithredol Dros Dro Gweithrediadau a Chyllid

 8.4.1 ACGC 2025\_09\_30 - Accounts Receivable Financial Control Procedure ACGC Approval Cover.pdf (4 pages)

 8.4.1 ACGC 2025\_09\_30 - Accounts Receivable Financial Control Procedure 2024 26.08.2025.pdf (16 pages)


 8.4.1 ACGC 2025\_09\_30 - Accounts Receivable Financial Control Procedure EQIA 2024 Review.pdf (14 pages)

#### 8.4.2.

### Risk Management Procedure / Gweithdrefn Rheoli Risg

**Stuart Silcox**

Assistant Director of Integrated Governance / Cyfarwyddwr Cynorthwyol Llywodraethu Integredig

 8.4.2 ACGC 2025\_09\_30 - Corporate Policy Procedure Approval Reporting - Risk Management Procedure 0.2.pdf (3 pages)

 8.4.2 ACGC 2025\_09\_30 - Risk Management Procedure v3.2.4.pdf (27 pages)

 8.4.2 ACGC 2025\_09\_30 - PHW EqHIA - Risk Management Policy and Procedure v1.2.pdf (13 pages)

12:25 - 12:35

10 min

### 9.

## Risk / Risg

(12:25 - 12:40)

#### 9.1.

### Corporate Risk Register / Cofrestr Risgiau Corfforaetho

(12:25 - 12:30)

(5 minutes/munud)

**Stuart Silcox**

📄 9 ACGC 2025\_09\_30 - CRR Cover Paper 18.09.2025.pdf (12 pages)

📄 9 ACGC 2025\_09\_30 - Corporate Risk Register - 11.09.2025.pdf (3 pages)

12:35 - 12:35

0 min

## 10. For Information / Er Gwybodaeth

(13:00 - 13:00)

(0 minutes/munud)

### 10.1.

#### Finance, Procurement and Counter Fraud / Cyllid, Caffael ac Atal Twyll

None / Dim

### 10.2.

#### Internal, External and Clinical Audit / Archwilio Mewnol, Allanol a Chlinigol

##### Quality and Clinical Audit Plan 2025/26 / Cynllun Archwilio Ansawdd a Safonau Clinigol 2025/6

For assurance on the overall system in place for clinical audit to ensure that there is an effective clinical audit function. \*\*The Quality, Safety and Improvement Committee will seek more detail on the clinical outcomes and improvements made as a result of clinical audit. / Er sicrwydd ar y system gyffredinol sydd ar waith ar gyfer archwilio clinigol i sicrhau swyddogaeth archwilio clinigol effeithiol. \*\*Bydd y Pwyllgor Ansawdd, Diogelwch a Gwelliant yn ceisio mwy o fanylion am y deilliannau clinigol a'r gwelliannau a wnaed o ganlyniad i archwiliad clinigol.

📄 10.2 ACGC 2025\_09\_30 - 2024-25 Q&CA EOY Report and 2025-26 Annual Plan Final.pdf (19 pages)

### 10.3.

#### Managing Risk / Rheoli Risg

Full Strategic Risk Register / Cofrestr Risg Strategol Llawn

(SRR 6 Considered in Private Meeting / CRS 6 Ystyriwyd mewn Cyfarfod Preifat)

📄 10.3 ACGC 2025\_09\_30 - Full SRR 1 to 5.pdf (55 pages)

### 10.4.

#### Governance and Accountability / Llywodraethu ac Atebolrwydd

##### 10.4.1.

#### NHS Wales Performance and Improvement Hosting Agreement / Cytundeb Lletya Perfformiad a Gwelliant y GIG

To follow / I ddilyn

##### 10.4.2.

#### Risk Management Policy / Gweithdrefn Rheoli Polisi

📄 10.4.2 ACGC 2025\_09\_30 - Corporate Policy Procedure Approval Reporting - Risk Management Policy 0.2.pdf (3 pages)

📄 10.4.2 ACGC 2025\_09\_30 - Draft PHW56 - Risk Management Policy v2.1.6.pdf (11 pages)

### 10.5.

#### Committee Work Plan / Cynllun Gwaith y Pwyllgor

📄 10.5 ACGC 2025\_09\_30 - ACGC Committee Workplan 2025-2026.pdf (3 pages)

12:35 - 12:35

0 min

## 11. Closing Administration / Materion Gweinyddol i Gloi

(13:00 - 13:00)

(0 minutes/munud)

- Date of Next Meeting / Dyddiad y Cyfarfod Nesaf  
16 December 2025 / 16 Rhagfyr 2025
- Committee Feedback / Adborth y Pwyllgor