

RAG Rating/Status

At risk	Red - Action date passed or revised date needed
On track	Yellow - Action on target to be completed by agreed/revised date
Complete	Green- Action complete
No longer needed	Blue - Action to be removed and/or replaced by new action

AUDIT AND CORPORATE GOVERNANCE COMMITTEE

Meeting Item Reference	Action Reference	Lead	Meeting Item Title	Details of action	Update on progress	Original target date	Revised target date	RAG rating/Status
OPEN ACTIONS FOR REVIEW								
ACGC 4.4/2023.03.16	ACGC 2023/3	HG/SS/MM	Strategic Risk Register 6: (Cyber Security)	The Committee discussed the importance of the Cyber Security Mandatory Training module and reflected on whether the interval should be changed to an annual requirement given the heightened risk posed to the Organisation of cyber-attacks. It was agreed that the Information Governance Group would consider this and highlight their findings to the People and Organisational Development Committee for consideration as part of the wider discussion on Statutory and Mandatory training.	19 September Update: This action is in progress and an action will be provided as part of the Cyber update to the Committee in January. Amend date.	19 September 2023	01 January 2024	At Risk
OPEN ACTIONS - IN PROGRESS BUT NOT YET DUE								
ACTIONS RECOMMENDED TO BE CLOSED AT (19.09.23) MEETING								
ACGC 4/2023.05.10	ACGC 2023/6	AW	Counter Fraud Deep Dive	The Committee suggested that key roles within the Organisations should undertake counter fraud training, and the Finance Team had looked for ways to ensure high levels of training.	19 September Update: To be added to the agenda in January as part of the update on counter fraud.			Complete
ACGC 8/2023.05.10	ACGC 2023/7	AW/RBW	Internal Audit	The Committee considered and accepted the Information Governance Final Internal Audit Report. The Committee asked for presentation at a future meeting of the scale of contracts which were under £25,000, to confirm the assurance given in the Report	19 September Update: To be added to the agenda in January.			Complete
ACGC 8/2023.05.10	ACGC 2023/8	JB/AJo	Internal Audit	The Committee accepted the Health Improvement (Population Health) Final Internal Audit Report and noted it showed the Organisation commissioned audits to target areas for improvement and asked for an update at the next meeting to review the progress on implementation.	19 September Update: This is Agenda item 3.2.1. Action to be closed.	19 September 2023	19 September 2023	Complete
ACGC 12/2023.05.10	ACGC 2023/9	HG	Closing Administration	The Committee asked for a discussion about Hosted Bodies. HG agreed a special session would be arranged to answer questions raised by the Committee.	19 September Update: This has been put forward in the develop of the Board Development programme which is currently being developed, and the Board will be updated.			Complete
ACGC 3.2/2023.07.12	ACGC 2023/10	PV	Audit Wales Annual Opinion (including ISA 260)	The Committee asked for the recommendation regarding the administration and governance of Payroll be referred to the People and Organisational Development Committee.	19 September Update: Initial discussions have taken place with NL and the team, and a meeting is being set up to discuss this with MM as Chair of PODC to provide assurance on how this is being managed. This will be reported back to ACGC once complete.			Complete
ACGC 5.1/2023.03.16	ACGC 2023/5	LB/NL/PD/NE	Internal Audit Progress Report and Internal Audit Report	As Chair of the People and Organisational Development Committee, MM highlighted recent discussion on the implementation of the Welsh Language Standards, and the potential failure to meet standard 17, answering reception telephone calls and replying in Welsh as required. It was felt that the Organisation may benefit from an audit across the whole of the Organisation. It was agreed that the matter be taken out of Committee and LB agreed to meet with NL and PD, and a response brought back to the Committee for consideration.	19 September Update: Initial discussions have taken place with NL and the team, and is being considered as part of the development of next years Audit Plan. PODC is continuing to consider regular updates on the Welsh Language provision and this will be monitored through this mechanism this year as work is ongoing to develop out provision.	19 September 2023		Complete