

# European Funding For Health in Wales

Part 1

Horizon 2020 and  
The Third Health  
Programme

2016-17



GIG  
CYMRU  
NHS  
WALES

Iechyd Cyhoeddus  
Cymru  
Public Health  
Wales



International Health Coordination Centre  
Canolfan Ryngwladol Cydlynw Iechyd



EuroHealthNet

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# Introduction

This funding catalogue is the first in a series of information materials to link key European Union (EU) funding opportunities to Wales' health and well-being priorities.

The series will provide an overview of available funding as well as key information and sources on calls and applications. This first issue covers Horizon 2020 and the Third Health Programme. The next issue will explore European Regional Funding such as Structural Funds (European Social Fund and Regional Development Fund) and Territorial Cooperation Funds (Interreg).

European engagement, collaboration and funding have been recognised as priority areas for Welsh Government and the NHS, highlighted in the recently published final report and recommendations of the [EU Funding Ambassadors](#).

Our international activities are fashioned by the key principles of enabling individuals and communities to enhance their health and wellbeing; improving quality, efficiency and sustainability of social and health services in Wales and creating opportunities for economic growth. Developing appropriate organisational capacity and staff capabilities is fundamental to delivering on these principles. Additionally, the coherence of EU and Welsh strategies and policy objectives offers great opportunities to exploit relevant funding to support and enhance the impact of national policies in all fields, especially health, research and innovation.

Welsh health and care organisations can build sustained partnerships with other organisations, countries and regions that will enhance the sharing of experience, knowledge and skills, support faster identification and adoption of technology and service innovation, helping to address common challenges and goals.

The development of this series of information briefings, starting with this catalogue, is part of the International Health Coordination Centre (IHCC) work to improve awareness and understanding as well as to support capacity building for accessing EU funding across the NHS in Wales.

# Context

## Health in European Policy

Wales is one of the four devolved Nations of the United Kingdom with dedicated competence in the field of health and wellbeing. As a region of the [European Union \(EU\)](#) and the [World Health Organization Regional Office for Europe \(WHO EURO\)](#), Wales is also working with other UK and European countries and regions to fulfil common policy objectives across a wide range of areas.

The [European Union](#) and [WHO EURO](#) cover slightly different geographical areas and have distinct responsibilities regarding health. However, recognising the synergies that exist between their respective roles and objectives, the European Commission (EC) and WHO EURO Secretariat have agreed on various [cooperation and collaboration frameworks](#) on key topics of common interest, including innovation and health, health security, public health information systems, chronic diseases, health inequalities and health system strengthening<sup>i</sup>.

The WHO EURO [Health 2020](#) strategy (Fig. 1) provides the overarching policy framework for its 53 member states<sup>ii</sup>. The framework aims to address the main health challenges WHO EURO faces, from communicable and non-communicable diseases to health inequalities, health system strengthening or responding to health emergencies.

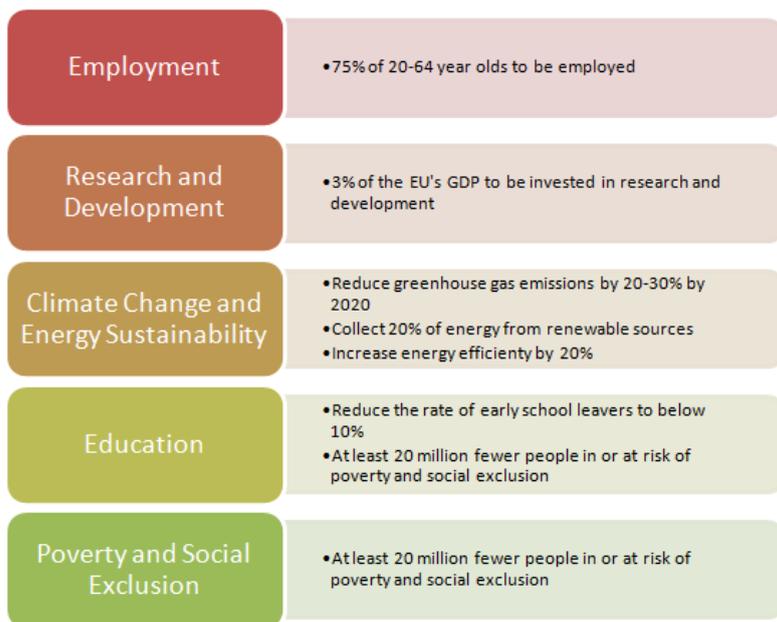
[Europe 2020](#) is the EU's 10-year strategy (Fig 1) promoting smart, inclusive and sustainable growth across the 28 Member States. While health is not identified as a priority under Europe 2020, it is recognised by the EC as a key contributor to the success of the strategy.

To support Europe 2020, Member States and Regions in promoting a healthier Europe, the EU has adopted the health strategy "[Together for Health](#)" based on 4 core principles<sup>iii</sup>:

- Shared health values
- Health is the greatest wealth
- Health in all policies
- Strengthening the EU's voice in global health

Although Health 2020 and Together for Health are independent from one another, WHO EURO and the EC work closely together. This is mostly agreed on thematic topics, although joint declarations are also issued regularly explaining how they work together and the areas cooperation. For 2015-2019 these areas are: innovation and health, health security, public health information systems, chronic diseases, health inequalities and health system strengthening<sup>iv</sup>.

**Figure 1 Europe 2020 targets<sup>v</sup> and Health 2020 priorities<sup>vi</sup>**



**HEALTH 2020 strategic objectives**

Working to improve health for all and reducing the health divide

Improving leadership, and participatory governance for health

**HEALTH 2020 four common policy priorities for health**

Investing in health through a life-course approach and empowering people

Tackling Europe's major health challenges: NCDs and communicable diseases

Strengthening people-centred health systems, public health capacities and emergency preparedness, surveillance and response

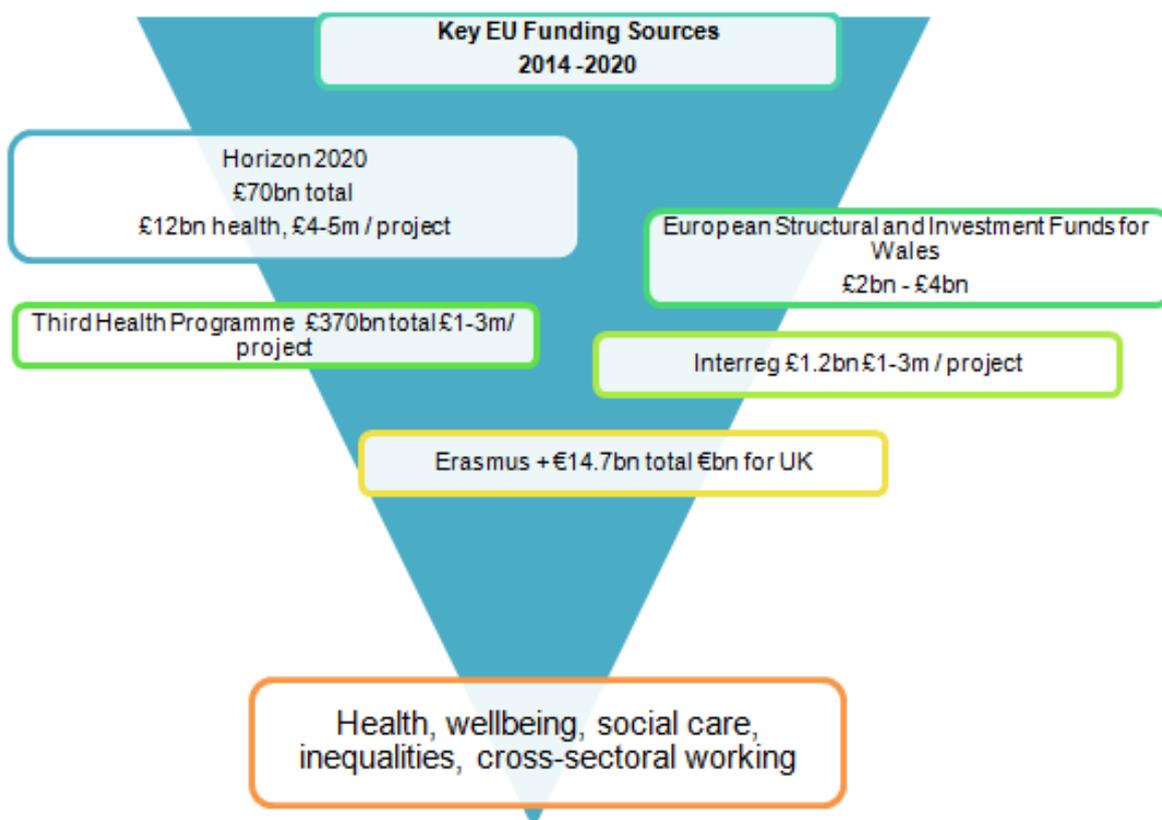
Creating resilient communities and supportive environments

**Overview of Funding Opportunities**

There are increasing opportunities for health, care and 3<sup>rd</sup> sector organisations in Wales to access significant European and other international **funding** to support policy development and implementation, research and innovation, leading to better health and wellbeing and enhanced service sustainability.

There is scope for Wales to increase its involvement in EU funding due to the close fit and coherence between policies, providing a clear opportunity to exploit EU funding streams to add value in Wales.

**Figure 2 Overview of EU funding opportunities available in Wales**



## Key Words:

Research, Innovation, Societal Challenge, Health and Wellbeing, Capacity Building, Sustainable cities, transport, healthy ageing, Health in ICT, personalized medicine, human bio-monitoring

## Key Sources of Information

For full information on Horizon 2020, please go to the [programme website](#) and [manual](#).

## Key Contacts

Jerome de Barros Innovate UK  
Telephone: 07799 766 352 Email: [Jerome.DeBarros@innovateuk.gov.uk](mailto:Jerome.DeBarros@innovateuk.gov.uk)

Alex Harris Medical Research Council  
Telephone: 01793 416204 Email: [alex.harris@headoffice.mrc.ac.uk](mailto:alex.harris@headoffice.mrc.ac.uk)

# Horizon 2020

## What is Horizon 2020?

**Horizon 2020** is the EU research and innovation funding programme 2014-2020, succeeding the previous programmes (Framework Programme for Research and Technological Development – **FP7**).

Horizon 2020 aims to drive economic growth and create jobs in a smart and sustainable way. It does this by placing an emphasis on the three priority areas known as **sections** (Fig.3): excellent science, industrial leadership and tackling societal challenges through research and innovation. Each section has a series of sub-topics (Fig. 3).

Priorities and calls for a given year are defined through multiannual work programmes prepared by the EC through a strategic programming process. This process integrates EU policy objectives in the priority setting, in consultation with a wide range of stakeholders and Member States<sup>vii</sup>.



(To watch the Horizon 2020 video overview<sup>viii</sup>, please click on the image)

Figure 3 Horizon 2020 Structure<sup>ix</sup>



The Horizon 2020 health-related research priorities 2016-2017 are **healthy ageing, health in Information Computer Technology (ICT), personalised medicine, human bio-monitoring**. In addition to this, Horizon 2020 is used to fund other programmes relevant to health, including; [The Innovative Medicines Initiative \(IMI\)](#), [The Active and Assisted Living Joint Programme \(AAL JP\)](#) or the [Marie Curie Actions](#).

Please go to the *EU Framework Programme for Research and Innovation* [website](#) for more information on Horizon 2020's programme sections.

## How could accessing this fund improve the health of Welsh citizens?

The Horizon 2020 priority most relevant to health in Wales is 'Societal Challenges', specifically the subtopic '[Health, Demographic Change and Wellbeing](#)' and '[Europe in a Changing World – Inclusive, Innovative and Reflective Societies](#)'.

### Health, Demographic Change and Wellbeing

This subtopic aims to keep older people active and independent for longer and supports the development of new, safer and more effective interventions. Research and innovation under Horizon 2020 also contributes to the sustainability of health and care systems. Research and innovation supported by this call will help to improve Wales and Europe by:

- Understanding of the causes and mechanisms underlying health, healthy ageing and disease;
- Developing the ability to monitor health and to prevent, detect, treat and manage disease;
- Support older persons to remain active and healthy;
- Developing and testing of new models and tools for health and care delivery<sup>x</sup>.

### Europe in a Changing World – Inclusive, Innovative and Reflective Societies

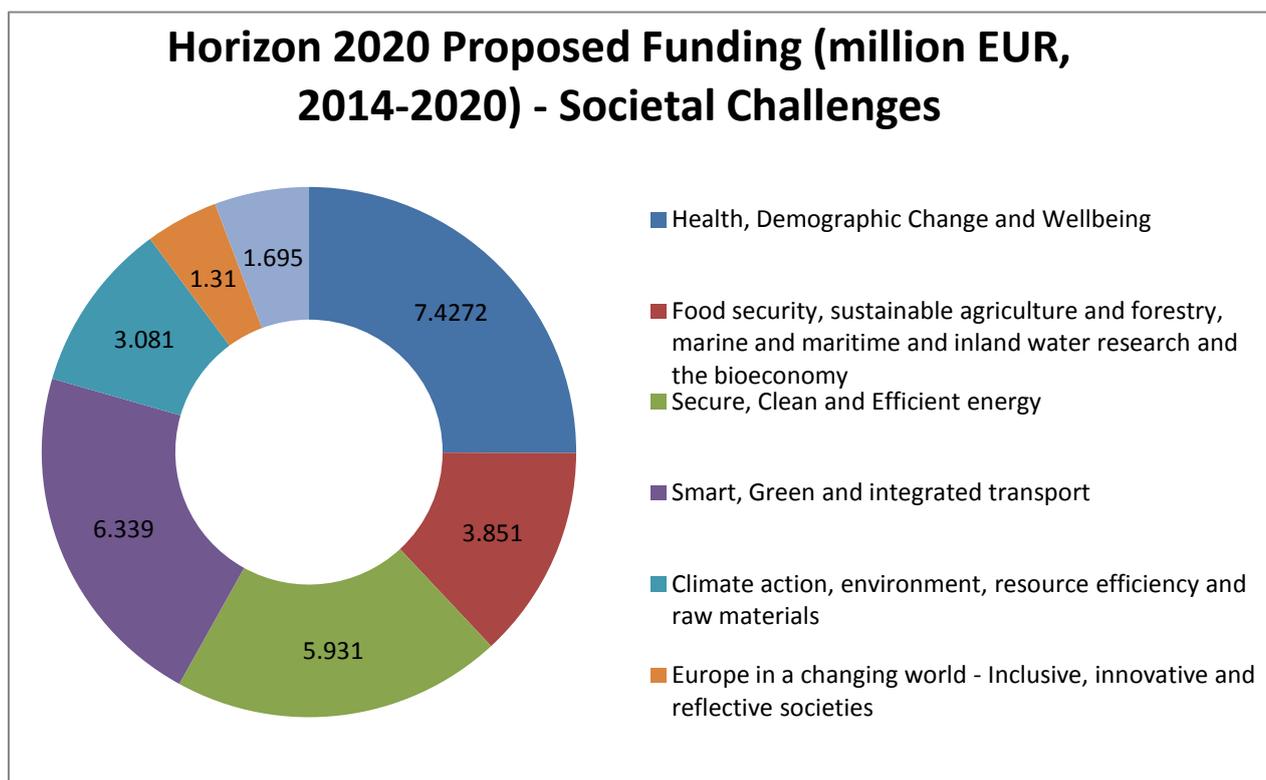
Funding will concentrate on fostering a greater understanding of Europe, by providing solutions and support inclusive, innovative and reflective European societies with an innovative public sector in a context of unprecedented transformations and growing global interdependencies, including areas pertinent to Wales such as:

- Economic recovery and inclusive and sustainable long-term growth with focus on co-creation for growth and inclusion
- Reversing inequalities
- The global evolving environment
- Developing a better understanding of Europe's cultural and social diversity to shape Europe's future<sup>xi</sup>.

## Funding Available and the Application Process

Horizon 2020 has an indicative allocated budget of €80 billion. A breakdown of the funding available for societal challenges is shown in Figure 4.

Figure 4 Horizon 2020 Societal Challenges Proposed Funding 2014-2020<sup>xii</sup>



(To watch the Horizon 2020 video on how to apply<sup>xiii</sup>, please click on the image)

All proposals are submitted online. Applicants for Horizon 2020 must create an account on DG Research and Innovation's [Participant Portal](#), where they can find and download proposal templates, submit their proposal and sign the grant agreement if their proposal is successful. An [online manual for Horizon 2020](#) can be found on the Participant Portal.

## Finding Partners

As most Horizon 2020 projects require the participation of a minimum three organisations based in different member states, a [partner search](#) system has been developed in order to help find partners for proposals. Participants can also contact their **National Contact Points** (NCP) for further proposal guidance and partner matching, NCPs can be found on the [UK GOV website](#).

2020

2019

2018

2017

2016

2015

2014

## Good to Know

- In an attempt to simplify and shorten the funding process, application and funding rules have evolved since the last EU funding programme for research and innovation (FP7). Find out more in each programme's [reference documents](#) on the Participant portal.
- Application is open to legal entities from the 28 EU member states, EU territories and a [list of selected non-EU countries](#). Please check the eligibility criteria of a specific call to find out more.
- Each [call for proposal](#) under Horizon 2020 includes documents and a description detailing eligibility criteria and the specificities of the project the Commission is looking to fund under that call.
- EU funding relevant for medical or health-related research may be listed under another Horizon 2020 subtopic than health; or may be available through another EU funding programme. The [Participant portal](#) allows you to do a search by topic across various EU programmes.
- Evaluation of proposals takes around 5 months, starting after a call's deadline. Successful applicants and the EC will then have three more months to finalise the grant agreement.
- Applicants must create an account on the [Participant Portal](#).

## Welsh Success Stories

Wales has previously been successful in its applications for research and innovation funding, for example, in the previous funding round, FP7:

- Wales was awarded over €20 million in three sections: Health, the European Research Council and Marie-Curie Actions in a review of progress to 2014 ([WEFO](#)).
- Wales had 440 participations (2.5% of UK figure) worth a total of €142.9m EU funding (2.1% of UK figure) ([WEFO](#)).
- Wales was most successful in the theme Food, Agriculture, Fisheries and Biotechnology (over 5% of participations and 5% of funding) ([WEFO](#)).

Examples of [current Welsh projects](#) include:

- [Strengthening International Research Capacity in Wales](#) (SIRCIW) is a fellowship programme led by the Welsh Government as part of its Sêr Cymru II programme to grow scientific research in Wales. The project receives EUR 9.6 million from Horizon 2020's Marie Skłodowska-Curie Actions COFUND scheme to support the recruitment of experienced post-doctoral researchers from outside the UK to work within research groups in Welsh Universities.
- Psychology and Neurobiology of Cognitive Control Training in Humans, Cardiff University. The university is in receipt of a EUR 2 million research funding budget from the [European Research Council](#) (ERC) under Horizon 2020, to support Professor Chris Chambers' five-year project.

A full review of Horizon 2020 funding in Wales can be found on the [WEFO website](#).

It is possible to view a wide range of successfully funded projects from across Europe on the [Horizon 2020 website](#).

# The Third Health Programme

## Key Sources of Information

For full information on the Third Health Programme, please go to the [Consumers, Health, Agriculture and Food Executive Agency \(CHAFEA\) website](#) and view the [programme manual](#).

## Key Contacts

CHAFEA

Telephone: +352-4301-32015

E-mail: [Chafea@ec.europa.eu](mailto:Chafea@ec.europa.eu)

Ms. Sarah Collen-Godman

NHS European Office

Telephone: +32-2-227-6448 / +44-20-7700-6666

National Focal Point for the Third Health Programme in the UK

Rue Marie-Therese 21

BE-1000 Brussels

Email: [Sarah.Godman@nhsconfed.org](mailto:Sarah.Godman@nhsconfed.org)

## Key Words:

Health and wellbeing, health systems, antimicrobial resistance, Health Technology Assessment (HTA), migrant health, health emergency, risk factors, chronic diseases, infectious diseases

# Third Health Programme

Overseen by the **European Commission's Directorate-General for Health and Food Safety** (DG SANTE), the **Third Health Programme** (2014-2020) is managed by the Consumers, Health, Agriculture and Food Executive Agency (CHAFAEA) and is the EC's main mechanism to implement EU health policy.

With an indicative overall budget of EUR 449 million for 7 years, the Third Health Programme has four overarching objectives (Fig 6), with **Annual Work Programmes** that list priority areas to be funded for each year and support actions that complement, support and add value to relevant policies in and between Member States. The allocated budget for 2016 is EUR 58 million.

Each Member State has designated **National Focal Points** providing information about funding opportunities and advice for applicants.

**Figure 5 The Third Health Programme: Who Does What?**<sup>xiv</sup>

## DG SANTE

- Input and agreement from EU Member States
- Preparation and adoption of annual work programme (definition of annual priorities)
- Communicates with internal stakeholders, reports and evaluates

## CHAFAEA

- Grant agreement payments
- Call for proposals
- Action monitoring
- Results dissemination

## National Focal Points

- Promote the Health Programme opportunities
- Advise and assist applicants
- Contribute to report evaluation
- Disseminate results at national level

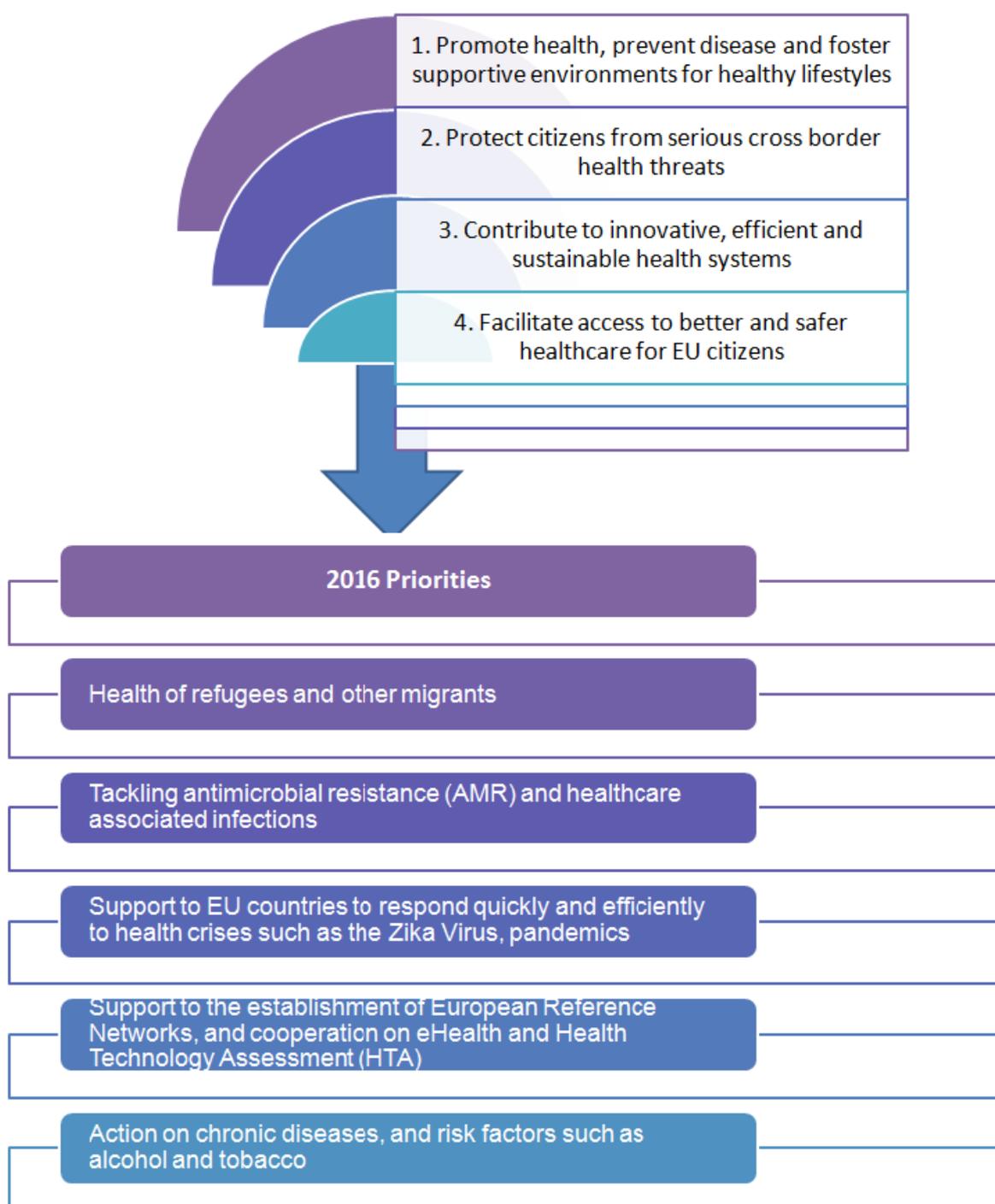
# How could accessing this fund improve the health of Welsh citizens?

The Third Health Programme links closely with Wales' goals for a Healthier, More Equal and Globally Responsible Wales as part of the [Wellbeing of Future Generations \(Wales\) Act 2015](#). It is also relevant to Welsh public health priorities such as prevention and reduction of unhealthy behaviors and chronic diseases.

A variety of funding opportunities are available, including grants for projects on alcohol and substance misuse or chronic diseases; prizes for third sector organisations working for a healthier EU and fairer access to health services; or public procurement opportunities on nutrition, physical activities or communicable diseases.

A full list of topics and actions funded under this Work Programme is available on [DG-SANTE's website](#).

**Figure 6 Third Health Programme Overarching Objectives and Priorities for 2016<sup>xv</sup>**



## Funding Available and the Application Process

Participation in the Third Health Programme is open to wide a range of organisations, such as research institutes and universities, public authorities, NGOs and private companies. All open calls for any type of action under the Third Health Programme (project, procurement or prize) are advertised on [CHAFEA's website](#).

Participant rules, eligibility and selection criteria vary according to the type of action funded. The [general details](#) are outlined and specified in the annual [Work Programme](#), and on individual call's page and documents.

Calls for project proposals in 2016 are already available for application at the [Participant Portal](#). The deadline is 2 June 2016. As with Horizon 2020, Registration and proposal submissions for project grants are done exclusively online through the Participant [Portal](#).

A standard proposal template for project grants of the Third Health Programme and a Guide for Applicants can be found on [CHAFEA's website](#). Proposals are evaluated according to 4 criteria: the policy relevance of the proposal, its technical quality, management quality and budget adequacy.

Call for tenders for public procurement opportunities will be published on [CHAFEA's website](#).

## Finding Partners

Organisations from the 28 EU Member States plus Norway and Iceland are eligible to participate in the Third Health Programme as partners in a project. All calls for project proposal will require at least three partners from three different countries.

National Focal Points can help interested parties to find partners for a project or consortium. Additionally, EU-wide organisations such as [EuroHealthNet](#) facilitate contacts between its member organisations for the formation of consortiums for health-related projects, as well as support in the development of proposals.

## Good to know

- Before submitting a proposal, you will have to identify a call on the [Participant Portal](#); register by [creating an account](#) to submit a proposal; and registering all partners via the [beneficiary registry](#).
- Co-funding is requested for project grants: partners in the project must provide up to 40% of the total project budget. At the opposite, procurement opportunities may be 100% funded by the EC.

## Welsh Success Stories

Wales has previously been successful in its applications for health promotion and disease prevention in previous Health Programmes:

- The Welsh Government was a partner in the 2011-2014 Joint Action on Health Inequalities "[Equity Action](#)". This Joint Action had a EUR 3 million budget funded through the Health Programme 2008-2013 and aimed to support policy development to address health inequalities through evidence development and knowledge sharing. In Wales, two Health Boards were associated to this Joint Action: Cwm Taf Health Board and Aneurin Bevan Health Board.
- The Welsh Blood Service was a partner in the [DOMAINE – Donor Management in Europe](#) project from 2008 to 2014, (funded through the Health Programme 2003-2008). The Health Programme subsidised EUR 500 000 of the total cost of EUR 862 073.

A full list of ongoing and past successful projects funded by the various editions of the Health Programme is available at the [EC website](#).



# Help and Advice

## Key National Contacts

National Contact Points for Horizon 2020	Full list available at the Participant Portal <a href="#">website</a> .
National Focal Points for the Third Health Programme	Full list available at CHAFEA's <a href="#">website</a> .
Welsh European Funding Office (WEFO)	For information and advice to applicants, visit the Welsh Government's <a href="#">website</a> or contact WEFO at: Welsh European Funding Office Merthyr Tydfil Office Rhydycar Business Park Rhydycar Merthyr Tydfil CF48 1UZ Phone: 0845 010 3355 Email: <a href="mailto:enquiries-wefo@wales.gsi.gov.uk">enquiries-wefo@wales.gsi.gov.uk</a>
Supporting Collaborative Research and innovation in Europe (Score Cymru)	A Welsh funding scheme to support Welsh-based organisations with the costs of accessing EU programmes such as Horizon 2020. See Score Cymru's <a href="#">FAQ</a> or contact WEFO at: <a href="mailto:horizon2020@wales.gsi.gov.uk">horizon2020@wales.gsi.gov.uk</a> 0845 010 3355

## Other useful sources of information and advice

Enterprise Europe Network (EEN)	For help and advice in finding European partners, visit EEN's <a href="#">website</a> .
Wales Council for Voluntary Action (WCVA)	Visit the WCVA's <a href="#">website</a> for advice and guidance on how to access EU funding.

## What the IHCC can do

**Inform:** The IHCC can provide relevant general and tailored information on current calls and application processes in line with an organisation's priorities.

**Network:** As a member of EuroHealthNet and WHO Regions for Health Network, the IHCC can introduce Welsh organisation to relevant potential partners as well as other networks across Europe.

**Refer to experts:** The IHCC is developing a network of individuals and institutions that would be able to provide expert advice and support on EU funding and grant proposals.

**For further information,** contact us at

Email: [International.health@wales.nhs.uk](mailto:International.health@wales.nhs.uk) / Telephone: 02920 227744

# Glossary

For further reference terms related to Horizon 2020 and Third Health Programme funding applications, visit the Participant Portal's [Glossary](#).

Key word	Description
7 <sup>th</sup> Framework Programme for Research and Technological Development (FP7)	Also known simply as 7 <sup>th</sup> Framework Programme, it was the research and innovation programme that preceded Horizon 2020. It ran from 2007 until 2013 and had a budget of over EUR 50 billion. FP7 co-finance projects on research, technological development and demonstration.
Annual Work Programmes	Annual Work Programmes for Grants are documents that identify the grants that are planned to be awarded during the year, specifically through calls for proposals. They specify the legislation, the objectives and the schedule each calls for proposal with an indicative budget and the expected outcomes.
Call for Proposals	Procedure to invite applicants to submit project proposals with the objective of receiving funds from the European Union.
EU funding Ambassadors	Appointed by the Minister for Finance and Business in December 2014 to help <a href="#">promote and maximise</a> the opportunities presented by the EU directly managed programmes.
EU Health Strategy "Together in Health"	The EU Health Strategy "Together in Health" is the main health strategy for the EU and supports the objectives of the wider Europe 2020. It aims to respond to common challenges through cooperation and coordination across the EU; and complement national health policies in selected areas. The EU Health Strategy is currently implemented through the Third Health Programme. More information about this strategy can be found on the European Commission's <a href="#">website</a> .
Europe 2020	Europe 2020 is the European Union's ten-year growth strategy. It aims to create smart, sustainable and inclusive growth and economies in Europe. More information about Europe 2020 can be found on the European Commission's <a href="#">website</a> .
European Commission's Consumers, Health, Agriculture and Food Executive Agency (CHAFEA)	EU agency established in 2005 to improve the implementation of EU health programmes. Currently, it implements the EU Health Programme, Better Training for Safer Food Initiative and the Promotion of Agriculture Products Programme. CHAFEA reports to DG SANTE.
European Commission's Directorate-General for Health and Food Safety (DG SANTE)	One of the Directorate-General units of the European Commission, DG SANTE is responsible for the implementation of EU laws regarding the safety of food and other products, consumers' rights and public health protection.

European Union (EU)	<p>Politic and economic union of 28 countries located in Europe, which operates through supranational institutions and intergovernmental-negotiated decisions by its member states.</p> <p>More information about the European Union can be found on the European Commission's <a href="#">website</a>.</p>
Funding	<p>The act of providing financial resources, to finance programmes and projects, usually by an organisation or government.</p>
Health 2020	<p>European Health Policy strategy devised and adopted by the World Health Organisation, which aims to support actions across government and society that improve health and well-being, contribute to the reduction of health inequalities, strengthen public health and improve health systems.</p> <p>More information about Health 2020 can be found on the World Health Organization's <a href="#">website</a>.</p>
Health in All Policies	<p>Health in All Policies (HiAP) is a policy strategy, which targets the key social determinants of health by addressing the impact of all policies on health. The HiAP approach is thus closely related to concepts such as 'inter-sectoral action for health', 'healthy public policy' and 'whole-of-government approach'.</p> <p>All EU policies have to follow the HiAP approach, as required by EU Treaty.</p> <p>More information about HiAP can be found on the European Commission's <a href="#">website</a>.</p>
Horizon 2020	<p>Horizon 2020 is the current research and innovation framework programme of the European Union. It will be implemented until 2020 and has a budget of over EUR 80 billion, in addition to private investment that it seeks to attract. It is the successor of the now finished FP7 programme.</p>
Innovation Union	<p>The Innovation Union is one of the seven flagship initiatives of the <a href="#">Europe 2020 strategy</a> for smart, sustainable and inclusive growth. It aims to create better conditions that facilitate innovation in the EU. Find out more in the Innovation Union's <a href="#">Pocket Guide</a></p> <p>More information about the Innovation Union can be found on the European Commission's <a href="#">website</a>.</p>
National Contact Points or National Focal Points	<p>Main structure to provide guidance, assistance and practical information on all aspects of participation for programmes such as Horizon 2020 and the Third Health Programme.</p>
Programme Sections	<p>Horizon 2020 is divided into difference programme sections. For more information on these sections, please visit the <a href="#">Horizon 2020 website</a>.</p>
Project	<p>A collaborative effort between different organisations with the intent of achieving specified results.</p>
Public Procurement	<p>The public purchase of works, goods or services. Public procurement for EU programmes are provided through calls for tender notices published by the European Commission or one of its entities when seeking applicants who can deliver specific services, goods or work in exchange for payment. Calls for tender are one phase of the procurement procedures which lead to the conclusion of public contracts.</p>
Third Health Programme (2014-2020)	<p>The Third Health Programme is the European Commission's main instrument to implement the EU Health Strategy. It is implemented through annual Work Programme that defines funding priorities for the year. The <a href="#">Work Programme for 2016</a> was published in March 2016.</p>
Wellbeing of Future Generations (Wales) Act 2015	<p>A piece of legislation that sets out to improve the social, economic, environmental and cultural well-being of Wales through the implementation of seven Well-Being Goals.</p> <p>More information on the Wellbeing of Future Generations (Wales) Act 2015 can be found on the Welsh Government's <a href="#">website</a> and</p>

	<a href="#">presentation.</a>
World Health Organization Europe (WHO/Europe)	The WHO Regional Office for Europe (WHO/Europe) is one of WHO's six regional offices around the world. It serves the WHO European Region, which comprises 53 countries. More information on the WHO/Europe can be found on the <a href="#">WHO website.</a>

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- <sup>xi</sup> *Ibid.*
- <sup>xii</sup> European Commission (2013) Horizon 2020 'Health demographic change and well-being': what's new? Open Info Day – Horizon 2020 'Health Demographic change and well-being'. Brussels, 22 November 2013 [Powerpoint slides]
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